# On a family of frames for Krein spaces

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#### Abstract

A definition of frames for Krein spaces is proposed, which extends the notion of J-orthonormal basis of Krein spaces. A J-frame for a Krein space  $(\mathcal{H}, [\ ,\ ])$  is in particular a frame for  $\mathcal{H}$  in the Hilbert space sense. But it is also compatible with the indefinite inner product  $[\ ,\ ]$ , meaning that it determines a pair of maximal uniformly J-definite subspaces with different positivity, an analogue to the maximal dual pair associated to a J-orthonormal basis.

Also, each J-frame induces an indefinite reconstruction formula for the vectors in  $\mathcal{H}$ , which resembles the one given by a J-orthonormal basis.

keywords: Krein spaces, frames, uniformly J-definite subspaces

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# 1 Introduction

In recent years, frame theory for Hilbert spaces has been thoroughly developed, see e. g. [6, 8, 9, 16]. Fixed a Hilbert space  $(\mathcal{H}, \langle , \rangle)$ , a frame for  $\mathcal{H}$  is a (generally overcomplete) family of vectors  $\mathcal{F} = \{f_i\}_{i \in I}$  in  $\mathcal{H}$  which satisfies the inequalities

$$A\|f\|^2 \le \sum_{i \in I} |\langle f, f_i \rangle|^2 \le B\|f\|^2, \quad \text{for every } f \in \mathcal{H}, \tag{1}$$

for positive constants  $0 < A \le B$ . The (bounded, linear) operator  $S: \mathcal{H} \to \mathcal{H}$  defined by

$$Sf = \sum_{i \in I} \langle f, f_i \rangle f_i, \quad f \in \mathcal{H}, \tag{2}$$

is known as the frame operator associated to  $\mathcal{F}$ . The inequalities in Eq. (1) imply that S is a (positive) boundedly invertible operator, and it allows to reconstruct each vector  $f \in \mathcal{H}$  in terms of the family  $\mathcal{F}$  as follows:

$$f = \sum_{i \in I} \langle f, S^{-1} f_i \rangle f_i = \sum_{i \in I} \langle f, f_i \rangle S^{-1} f_i.$$
(3)

The above formula is known as the reconstruction formula associated to  $\mathcal{F}$ . Notice that if  $\mathcal{F}$  is a Parseval frame, i.e. if S = I, then the reconstruction formula resembles the Fourier series of f associated to an orthonormal basis  $\mathcal{B} = \{b_k\}_{k \in K}$  of  $\mathcal{H}$ :

$$f = \sum_{k \in K} \langle f, b_k \rangle b_k,$$

but the frame coefficients  $\{\langle f, f_i \rangle\}_{i \in I}$  given by  $\mathcal{F}$  allow to reconstruct f even when some of these coefficients are missing (or corrupted). Indeed, each vector  $f \in \mathcal{H}$  may admit several reconstructions in terms of the frame coefficients as a consequence of the redundancy of  $\mathcal{F}$ . These are some of the advantages of frames over (orthonormal, orthogonal or Riesz) bases in signal processing applications, when noisy channels are involved, e.g. see [3, 17, 22].

Given a Krein space  $(\mathcal{H}, [\ ,\ ])$  with fundamental symmetry J, a J-orthonormalized system is a family  $\mathcal{E} = \{e_i\}_{i \in I}$  such that  $[e_i, e_j] = \pm \delta_{ij}$ , for  $i, j \in I$ . A J-orthonormal basis is a J-orthonormalized system which is also a Schauder basis for  $\mathcal{H}$ . If  $\mathcal{E} = \{e_i\}_{i \in I}$  is a J-orthonormal basis of  $\mathcal{H}$  then the vectors in  $\mathcal{H}$  can be represented as follows:

$$f = \sum_{i \in I} \sigma_i [f, e_i] e_i, \quad f \in \mathcal{H}, \tag{4}$$

where  $\sigma_i = [e_i, e_i] = \pm 1$ .

J-orthonormalized systems (and bases) are intimately related to the notion of dual pair. In fact, each J-orthonormalized system generates a dual pair, i.e. a pair  $(\mathcal{L}_+, \mathcal{L}_-)$  of subspaces of  $\mathcal{H}$  such that  $\mathcal{L}_+$  is J-nonnegative,  $\mathcal{L}_-$  is J-nonpositive and  $\mathcal{L}_+$  is J-orthogonal to  $\mathcal{L}_-$ , i.e.  $[\mathcal{L}_+, \mathcal{L}_-] = 0$ . Moreover, if  $\mathcal{E}$  is a J-orthonormal basis of  $\mathcal{H}$ , the dual pair associated to  $\mathcal{E}$  is maximal (with respect to the inclusion preorder) and the subspaces  $\mathcal{L}_+$  and  $\mathcal{L}_-$  are uniformly J-definite, see [18, Ch.1, §10]. Therefore the dual pair  $(\mathcal{L}_+, \mathcal{L}_-)$  is a fundamental decomposition of  $\mathcal{H}$ . Notice that, considering the Hilbert space structure induced by the above fundamental decomposition, the J-orthonormal basis  $\mathcal{E}$  turns out to be an orthonormal basis in the associated Hilbert space. Therefore, each J-orthonormal basis can be realized as an orthonormal basis of  $\mathcal{H}$  (respect to an appropriate definite inner product).

Given a pair of maximal uniformly J-definite subspaces  $\mathcal{M}_+$  and  $\mathcal{M}_-$  of a Krein space  $\mathcal{H}$ , with different positivity, if  $\mathcal{F}_{\pm} = \{f_i\}_{i \in I_{\pm}}$  is a frame for the Hilbert space  $(\mathcal{M}_{\pm}, \pm [\ ,\ ])$ , it is easy to see that

$$\mathcal{F} = \mathcal{F}_+ \cup \mathcal{F}_-,$$

is a frame for  $\mathcal{H}$ , which produces an indefinite reconstruction formula:

$$f = \sum_{i \in I} \sigma_i[f, g_i] f_i = \sum_{i \in I} \sigma_i[f, f_i] g_i, \quad f \in \mathcal{H},$$

where  $\sigma_i = \text{sgn}[f_i, f_i]$  and  $\{g_i\}_{i \in I}$  is some (equivalent) frame for  $\mathcal{H}$  (see Example 2 and Proposition 5.3).

The aim of this work is to introduce and characterize a particular family of frames for a Krein space  $(\mathcal{H}, [\ ,\ ])$  -hereafter called J-frames- that are compatible with the indefinite inner product  $[\ ,\ ]$ . Some different approaches to frames for Krein spaces and indefinite reconstruction formulas are developed in [14] and [21], respectively.

The paper is organized as follows: Section 2 contains some preliminaries results both in Krein spaces and in frame theory for Hilbert spaces.

Section 3 presents the *J*-frames. Briefly, a *J*-frame for the Krein space  $(\mathcal{H}, [\ ,\ ])$  is a Bessel family  $\mathcal{F} = \{f_i\}_{i \in I}$  with synthesis operator  $T: \ell_2(I) \to \mathcal{H}$  such that the ranges of  $T_+ := TP_+$  and  $T_- := T(I-P_+)$  are maximal uniformly *J*-positive and maximal uniformly *J*-negative subspaces, respectively, where  $I_+ = \{i \in I: [f_i, f_i] > 0\}$  and  $P_+$  is the orthogonal projection onto  $\ell_2(I_+)$ , as a subspace of  $\ell_2(I)$ . It is immediate that *J*-orthonormal bases are *J*-frames, because they generate maximal dual pairs

It is immediate that J-orthonormal bases are J-frames, because they generate maximal dual pairs [18, Ch. 1, §10.12].

Also, if  $\mathcal{F}$  is a J-frame for  $\mathcal{H}$ , observe that  $R(T) = R(T_+) + R(T_-)$  and recall that the sum of a pair of maximal uniformly J-definite subspaces with different positivity coincides with  $\mathcal{H}$  [2, Corollary 1.5.2]. Therefore, each J-frame is in fact a frame for  $\mathcal{H}$  in the Hilbert space sense. Moreover, it is shown that  $\mathcal{F}_+ = \{f_i\}_{i \in I_+}$  is a frame for the Hilbert space  $(R(T_+), [\ ,\ ])$  and  $\mathcal{F}_- = \{f_i\}_{i \in I \setminus I_+}$  is a frame for  $(R(T_-), -[\ ,\ ])$ , i.e. there exist constants  $B_- \leq A_- < 0 < A_+ \leq B_+$  such that

$$A_{\pm}[f, f] \le \sum_{i \in I_{\pm}} |[f, f_i]|^2 \le B_{\pm}[f, f] \text{ for every } f \in R(T_{\pm}).$$
 (5)

The optimal constants satisfying the above inequalities can be characterized in terms of  $T_{\pm}$  and the Gramian operators of their ranges.

This section ends with a geometrical characterization of J-frames, in terms of the (minimal) angles between the uniformly J-definite subspace  $R(T_{\pm})$  and the cone of neutral vectors of the Krein space.

Section 4 is devoted to study the synthesis operators associated to J-frames. Fixed a Krein space  $\mathcal{H}$  and given a bounded operator  $T: \ell_2(I) \to \mathcal{H}$ , it is described under which conditions T is the synthesis operator of a J-frame.

In Section 5 the *J*-frame operator is introduced. Given a *J*-frame  $\mathcal{F} = \{f_i\}_{i \in I}$ , the *J*-frame operator  $S : \mathcal{H} \to \mathcal{H}$  is defined by

$$Sf = \sum_{i \in I} \sigma_i [f, f_i] f_i, \quad f \in \mathcal{H},$$

where  $\sigma_i = \text{sgn}([f_i, f_i])$ . This operator resembles the frame operator for frames in Hilbert spaces (see Eq. (2)), and it has similar properties, in particular  $S = TT^{\#}$  if  $T : \ell_2(I) \to \mathcal{H}$  is the synthesis operator of

 $\mathcal{F}$  (see Proposition 5.1). Furthermore, each J-frame  $\mathcal{F} = \{f_i\}_{i \in I}$  determines an indefinite reconstruction formula, which depends on the J-frame operator S:

$$f = \sum_{i \in I} \sigma_i \left[ f, S^{-1} f_i \right] f_i = \sum_{i \in I} \sigma_i \left[ f, f_i \right] S^{-1} f_i, \quad \text{for every } f \in \mathcal{H}.$$
 (6)

In this case the family  $\{S^{-1}f_i\}_{i\in I}$  turns out to be a *J*-frame too.

Finally, it will be shown that the *J*-frame operator of a *J*-frame  $\mathcal{F}$  is intimately related to the projection  $Q = P_{R(T_+)//R(T_-)}$  determined by the decomposition  $\mathcal{H} = R(T_+) \dotplus R(T_-)$ . In fact, fixed a *J*-selfadjoint invertible operator *S* acting on a Krein space  $\mathcal{H}$ , it is the *J*-frame operator for a *J*-frame  $\mathcal{F}$  if and only if there exists a projection Q with uniformly *J*-definite range and kernel such that QS is a *J*-positive operator and (I-Q)S is a *J*-negative operator, see Theorem 5.5.

### 2 Preliminaries

Along this work  $\mathcal{H}$  denotes a complex (separable) Hilbert space. If  $\mathcal{K}$  is another Hilbert space then  $L(\mathcal{H}, \mathcal{K})$  is the algebra of bounded linear operators from  $\mathcal{H}$  into  $\mathcal{K}$  and  $L(\mathcal{H}) = L(\mathcal{H}, \mathcal{H})$ . The groups of linear invertible and unitary operators acting on  $\mathcal{H}$  are denoted by  $GL(\mathcal{H})$  and  $U(\mathcal{H})$ , respectively. Also,  $L(\mathcal{H})^+$  denotes the cone of positive semidefinite operators acting on  $\mathcal{H}$  and  $GL(\mathcal{H})^+ = GL(\mathcal{H}) \cap L(\mathcal{H})^+$ .

If  $T \in L(\mathcal{H}, \mathcal{K})$  then  $T^* \in L(\mathcal{K}, \mathcal{H})$  denotes the adjoint operator of T, R(T) stands for its range and N(T) for its nullspace. Also, if  $T \in L(\mathcal{H}, \mathcal{K})$  has closed range,  $T^{\dagger} \in L(\mathcal{K}, \mathcal{H})$  denotes the Moore-Penrose inverse of T.

Hereafter,  $S \dotplus T$  denotes the direct sum of two (closed) subspaces S and T of H. On the other hand,  $S \oplus T$  stands for the (direct) orthogonal sum of them and  $S \ominus T := S \cap (S \cap T)^{\perp}$ . If  $H = S \dotplus T$ , the oblique projection onto S along T is the unique projection with range S and nullspace T. It is denoted by  $P_{S//T}$ . In particular,  $P_S := P_{S//S^{\perp}}$  is the orthogonal projection onto S.

# 2.1 Krein spaces

In what follows we present the standard notation and some basic results on Krein spaces. For a complete exposition on the subject (and the proofs of the results below) see the books by J. Bognár [4] and T. Ya. Azizov and I. S. Iokhvidov [18] and the monographs by T. Ando [2] and by M. Dritschel and J. Rovnyak [13].

Given a Krein space  $(\mathcal{H}, [\ ,\ ])$  with a fundamental decomposition  $\mathcal{H} = \mathcal{H}_+ \dot{+} \mathcal{H}_-$ , the direct (orthogonal) sum of the Hilbert spaces  $(\mathcal{H}_+, [\ ,\ ])$  and  $(\mathcal{H}_-, -[\ ,\ ])$  is denoted by  $(\mathcal{H}, \langle\ ,\ \rangle)$ .

Observe that the indefinite metric and the inner product of  $\mathcal{H}$  are related by means of a fundamental symmetry, i.e. a unitary selfadjoint operator  $J \in L(\mathcal{H})$  which satisfies:

$$[x,y] = \langle Jx, y \rangle, \quad x, y \in \mathcal{H}.$$

If  $\mathcal{H}$  and  $\mathcal{K}$  are Krein spaces,  $L(\mathcal{H}, \mathcal{K})$  stands for the vector space of linear transformations which are bounded respect to the associated Hilbert spaces  $(\mathcal{H}, \langle \ , \ \rangle_{\mathcal{H}})$  and  $(\mathcal{K}, \langle \ , \ \rangle_{\mathcal{K}})$ . Given  $T \in L(\mathcal{H}, \mathcal{K})$ , the J-adjoint operator of T is defined by  $T^{\#} = J_{\mathcal{H}}T^{*}J_{\mathcal{K}}$ , where  $J_{\mathcal{H}}$  and  $J_{\mathcal{K}}$  are the fundamental symmetries associated to  $\mathcal{H}$  and  $\mathcal{K}$ , respectively. An operator  $T \in L(\mathcal{H})$  is J-selfadjoint if  $T = T^{\#}$ .

A vector  $x \in \mathcal{H}$  is *J-positive* if [x, x] > 0. A subspace  $\mathcal{S}$  of  $\mathcal{H}$  is *J-positive* if every  $x \in \mathcal{S}$ ,  $x \neq 0$ , is a *J-positive* vector. A subspace  $\mathcal{S}$  of  $\mathcal{H}$  is uniformly *J-positive* if there exists  $\alpha > 0$  such that

$$[x, x] \ge \alpha ||x||^2$$
, for every  $x \in \mathcal{S}$ ,

where  $\| \|$  stands for the norm of the associated Hilbert space  $(\mathcal{H}, \langle , \rangle)$ .

J-nonnegative, J-neutral, J-negative, J-nonpositive and uniformly J-negative vectors and subspaces are defined analogously.

**Remark 2.1.** If  $S_+$  is a closed uniformly J-positive subspace of a Krein space  $(\mathcal{H}, [\ ,\ ])$ , observe that  $(S_+, [\ ,\ ])$  is a Hilbert space. In fact, the forms  $[\ ,\ ]$  and  $\langle\ ,\ \rangle$  are equivalent inner products on  $S_+$ , because

$$\alpha ||f||^2 \le [f, f] \le ||f||^2$$
, for every  $f \in \mathcal{S}_+$ .

Analogously, if  $S_{-}$  is a closed uniformly *J*-negative subspace of  $(\mathcal{H}, [\ ,\ ]), (S_{-}, -[\ ,\ ])$  is a Hilbert space.

**Proposition 2.2** ([18], Cor. 7.17). Let  $\mathcal{H}$  be a Krein space with fundamental symmetry J and  $\mathcal{S}$  a J-nonnegative closed subspace of  $\mathcal{H}$ . Then,  $\mathcal{S}$  is the range of a J-selfadjoint projection if and only if  $\mathcal{S}$  is uniformly J-positive.

Recall that, given a closed subspace  $\mathcal{M}$  of a Krein space  $\mathcal{H}$ , the Gramian operator of  $\mathcal{M}$  is defined by:

$$G_{\mathcal{M}} = P_{\mathcal{M}}JP_{\mathcal{M}},$$

where  $P_{\mathcal{M}}$  is the orthogonal projection onto  $\mathcal{M}$  and J is the fundamental symmetry of  $\mathcal{H}$ . If  $\mathcal{M}$  is J-semidefinite, then  $\mathcal{M} \cap \mathcal{M}^{[\perp]}$  coincides with  $\mathcal{N} := \{ f \in \mathcal{M} : [f, f] = 0 \}$ . Therefore, it is easy to see that

$$G_{\mathcal{M}} = G_{\mathcal{M} \ominus \mathcal{N}}.$$

Given a subspace S of a Krein space H, the *J-orthogonal companion* to S is defined by

$$\mathcal{S}^{[\perp]} = \{ x \in \mathcal{H} : [x, s] = 0 \text{ for every } s \in \mathcal{S} \}.$$

A subspace S of  $\mathcal{H}$  is J-non degenerated if  $S \cap S^{[\perp]} = \{0\}$ . Notice that if S is a J-definite subspace of  $\mathcal{H}$  then it is J-non degenerated.

### 2.2 Angles between subspaces and reduced minimum modulus

Given two closed subspaces S and T of a Hilbert space H, the cosine of the *Friedrichs angle* between S and T is defined by

$$c(\mathcal{S}, \mathcal{T}) = \sup\{|\langle x, y \rangle| : x \in \mathcal{S} \ominus \mathcal{T}, ||x|| = 1, y \in \mathcal{T} \ominus \mathcal{S}, ||y|| = 1\}.$$

It is well known that

$$c(\mathcal{S}, \mathcal{T}) < 1 \Leftrightarrow \mathcal{S} + \mathcal{T} \text{ is closed } \Leftrightarrow c(\mathcal{S}^{\perp}, \mathcal{T}^{\perp}) < 1.$$

Furthermore, if  $P_{\mathcal{S}}$  and  $P_{\mathcal{T}}$  are the orthogonal projections onto  $\mathcal{S}$  and  $\mathcal{T}$ , respectively, then  $c(\mathcal{S}, \mathcal{T}) < 1$  if and only if  $(I - P_{\mathcal{S}})P_{\mathcal{T}}$  has closed range. See [10] for further details.

The next definition is due to T. Kato, see [19, Ch. IV, § 5].

**Definition.** The reduced minimum modulus  $\gamma(T)$  of an operator  $T \in L(\mathcal{H}, \mathcal{K})$  is defined by

$$\gamma(T) = \inf\{\|Tx\| : x \in N(T)^{\perp}, \|x\| = 1\}.$$

Observe that  $\gamma(T) = \sup\{C \geq 0 : C\|x\| \leq \|Tx\|$  for every  $x \in N(T)^{\perp}$ ,  $\|x\| = 1\}$ . It is well known that  $\gamma(T) = \gamma(T^*) = \gamma(T^*T)^{1/2}$ . Also, it can be shown that an operator  $T \neq 0$  has closed range if and only if  $\gamma(T) > 0$ . In this case,  $\gamma(T) = \|T^{\dagger}\|^{-1}$ .

If  $\mathcal{H}$  and  $\mathcal{K}$  are Krein spaces with fundamental symmetries  $J_{\mathcal{H}}$  and  $J_{\mathcal{K}}$ , respectively, and  $T \in L(\mathcal{H}, \mathcal{K})$  then

$$\gamma(T^{\#}) = \gamma(J_{\mathcal{H}}T^*J_{\mathcal{K}}) = \gamma(T^*) = \gamma(T),$$

because  $J_{\mathcal{H}}$  (resp.  $J_{\mathcal{K}}$ ) is a unitary operator on  $\mathcal{H}$  (resp.  $\mathcal{K}$ ).

**Remark 2.3.** If  $\mathcal{M}_+$  is a closed J-nonnegative subspace of a Krein space  $\mathcal{H}$  then

$$\gamma(G_{\mathcal{M}_+}) = \alpha^+,\tag{7}$$

where  $\alpha^+ \in [0,1]$  is the supremum among the constants  $\alpha \in [0,1]$  such that  $\alpha ||f||^2 \leq [f,f]$  for every  $f \in \mathcal{M}_+$ . From now on, the constant  $\alpha^+$  is called the *definiteness bound* of  $\mathcal{M}_+$ . Notice that  $\alpha^+$  is in fact a maximum for the above set and  $\mathcal{M}^+$  is uniformly J-positive if and only if  $\alpha^+ > 0$ .

Analogously, if  $\mathcal{M}_{-}$  is a *J*-nonpositive subspace then  $\gamma(G_{\mathcal{M}_{-}}) = \alpha^{-}$ , where  $\alpha^{-}$  is the definiteness bound of  $\mathcal{M}_{-}$ , i.e.

$$\alpha^{-} = \max\{\alpha \in [0,1] : [f, f] \le -\alpha ||f||^{2} \text{ for every } f \in \mathcal{M}_{-}\}.$$

### 2.3 Frames for Hilbert spaces

The following is the standard notation and some basic results on frames for Hilbert spaces, see [6, 8, 16].

A frame for a Hilbert space  $\mathcal{H}$  is a family of vectors  $\mathcal{F} = \{f_i\}_{i \in I} \subset \mathcal{H}$  for which there exist constants  $0 < A \leq B < \infty$  such that

$$A \|f\|^2 \le \sum_{i \in I} |\langle f, f_i \rangle|^2 \le B \|f\|^2, \text{ for every } f \in \mathcal{H}.$$
(8)

The optimal constants (maximal for A and minimal for B) are known, respectively, as the upper and lower frame bounds.

If a family of vectors  $\mathcal{F} = \{f_i\}_{i \in I}$  satisfies the upper bound condition in (8), then  $\mathcal{F}$  is a Bessel family. For a Bessel family  $\mathcal{F} = \{f_i\}_{i \in I}$ , the synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$  is defined by

$$Tx = \sum_{i \in I} \langle x, e_i \rangle f_i,$$

where  $\{e_i\}_{i\in I}$  is the standard orthonormal basis of  $\ell_2(I)$ . It holds that  $\mathcal{F}$  is a frame for  $\mathcal{H}$  if and only if T is surjective. In this case, the operator  $S = TT^* \in L(\mathcal{H})$  is invertible and is called the *frame operator*. It can be easily verified that

$$Sf = \sum_{i \in I} \langle f, f_i \rangle f_i, \text{ for every } f \in \mathcal{H}.$$
 (9)

This implies that the frame bounds can be computed as:  $A = ||S^{-1}||^{-1}$  and B = ||S||. From (9), it is also easy to obtain the *canonical reconstruction formula* for the vectors in  $\mathcal{H}$ :

$$f = \sum_{i \in I} \langle f, S^{-1} f_i \rangle f_i = \sum_{i \in I} \langle f, f_i \rangle S^{-1} f_i, \text{ for every } f \in \mathcal{H},$$

and the frame  $\{S^{-1}f_i\}_{i\in I}$  is called the *canonical dual frame* of  $\mathcal{F}$ . More generally, if a frame  $\mathcal{G} = \{g_i\}_{i\in I}$  satisfies

$$f = \sum_{i \in I} \langle f, g_i \rangle f_i = \sum_{i \in I} \langle f, f_i \rangle g_i, \quad \text{for every } f \in \mathcal{H},$$
(10)

then  $\mathcal{G}$  is called a *dual frame* of  $\mathcal{F}$ .

# 3 *J*-frames: definition and basic properties

Let  $\mathcal{H}$  be a Krein space with fundamental symmetry J. Given a Bessel family  $\mathcal{F} = \{f_i\}_{i \in I}$  in  $\mathcal{H}$  consider the synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$ . If  $I_+ = \{i \in I : [f_i, f_i] \geq 0\}$  and  $I_- = \{i \in I : [f_i, f_i] < 0\}$ , consider the orthogonal decomposition of  $\ell_2(I)$  given by

$$\ell_2(I) = \ell_2(I_+) \oplus \ell_2(I_-),$$
(11)

and denote by  $P_{\pm}$  the orthogonal projection onto  $\ell_2(I_{\pm})$ . Also, let  $T_{\pm} = TP_{\pm}$ . If  $\mathcal{M}_{\pm} = \overline{\operatorname{span}\{f_i: i \in I_{\pm}\}}$ , notice that  $\operatorname{span}\{f_i: i \in I_{\pm}\} \subseteq R(T_{\pm}) \subseteq \mathcal{M}_{\pm}$  and

$$R(T) = R(T_{+}) + R(T_{-}).$$

**Definition.** The Bessel family  $\mathcal{F} = \{f_i\}_{i \in I}$  is a *J-frame* for  $\mathcal{H}$  if  $R(T_+)$  is a maximal uniformly *J*-positive subspace of  $\mathcal{H}$  and  $R(T_-)$  is a maximal uniformly *J*-negative subspace of  $\mathcal{H}$ .

Notice that, in particular, every J-orthogonalized basis of a Krein space  $\mathcal{H}$  is a J-frame for  $\mathcal{H}$ , because it generates a maximal dual pair, see [18, Ch. 1, §10.12].

If  $\mathcal{F}$  is a J-frame, as a consequence of its maximality,  $R(T_{\pm})$  is closed. So,  $R(T_{\pm}) = \mathcal{M}_{\pm}$  and, by [2, Corollary 1.5.2],  $\mathcal{M}_{+} + \mathcal{M}_{-} = \mathcal{H}$ . Then, it follows that  $\mathcal{F}$  is a frame for the associated Hilbert space  $(\mathcal{H}, \langle , \rangle)$  because

$$R(T) = R(T_{+}) + R(T_{-}) = \mathcal{M}_{+} + \mathcal{M}_{-} = \mathcal{H}.$$

Given a Bessel family  $\mathcal{F} = \{f_i\}_{i \in I}$ , consider the subspaces  $R(T_+)$  and  $R(T_-)$  as above. If  $K_{\pm} : \mathcal{D}_{\pm} \to \mathcal{H}_{\mp}$  is the angular operator associated to  $R(T_{\pm})$ , the operator of transition associated to the Bessel family  $\mathcal{F}$  is defined by

$$F = K_{+}P + K_{-}(I - P) : \mathcal{D}_{+} + \mathcal{D}_{-} \to \mathcal{H},$$

where  $P = \frac{1}{2}(I+J)$  is the *J*-selfadjoint projection onto  $\mathcal{H}_+$  and  $\mathcal{D}_{\pm}$  is a subspace of  $\mathcal{H}_{\pm}$  (the domain of  $K_{\pm}$ ), see [15].

**Proposition 3.1.** Let  $\mathcal{F} = \{f_i\}_{i \in I}$  be a Bessel family in  $\mathcal{H}$ . Then,  $\mathcal{F}$  is a J-frame if and only if F is everywhere defined (i.e.  $\mathcal{D}_+ + \mathcal{D}_- = \mathcal{H}$ ) and ||F|| < 1.

**Proof.** Proof See [15, Proposition 2.6].

It follows from the definition that, given a J-frame  $\mathcal{F} = \{f_i\}_{i \in I}$  for the Krein space  $\mathcal{H}$ ,  $[f_i, f_i] \neq 0$  for every  $i \in I$ , i.e.  $I_{\pm} = \{i \in I : \pm [f_i, f_i] > 0\}$ . This fact allows to endow the coefficients space  $\ell_2(I)$  with a Krein space structure. Denote  $\sigma_i = \operatorname{sgn}([f_i, f_i]) = \pm 1$  for every  $i \in I$ . Then, the diagonal operator  $J_2 \in L(\ell_2(I))$  defined by

$$J_2 e_i = \sigma_i e_i, \quad \text{for every } i \in I,$$
 (12)

is a selfadjoint involution on  $\ell_2(I)$ . Therefore,  $\ell_2(I)$  with the fundamental symmetry  $J_2$  is a Krein space. Now, if  $T \in L(\ell_2(I), \mathcal{H})$  is the synthesis operator of  $\mathcal{F}$ , the J-adjoints of T,  $T_+$  and  $T_-$  can be easily calculated, in fact if  $f \in \mathcal{H}$ :

$$T_{\pm}^{\#}f = \pm \sum_{i \in I_{+}} [f, f_{i}]e_{i},$$

and  $T^{\#}f = (T_{+} + T_{-})^{\#}f = T_{+}^{\#}f + T_{-}^{\#}f = \sum_{i \in I_{+}} [f, f_{i}]e_{i} - \sum_{i \in I_{-}} [f, f_{i}]e_{i} = \sum_{i \in I} \sigma_{i}[f, f_{i}]e_{i}$ .

**Example 1.** It is easy to see that not every frame of J-nonneutral vectors is a J-frame: given the Krein space obtained by endowing  $\mathbb{C}^3$  with the sesquilinear form

$$[(x_1, x_2, x_3), (y_1, y_2, y_3)] = x_1\overline{y_1} + x_2\overline{y_2} - x_3\overline{y_3},$$

consider  $f_1=(1,0,\frac{1}{\sqrt{2}}), f_2=(0,1,\frac{1}{\sqrt{2}})$  and  $f_3=(0,0,1)$ . Observe that  $\mathcal{F}=\{f_1,f_2,f_3\}$  is a frame for  $\mathbb{C}^3$  because it is a (linear) basis for the space.

On the other hand,  $\mathcal{M}_+ = \operatorname{span}\{f_1, f_2\}$  and  $\mathcal{M}_- = \operatorname{span}\{f_3\}$ . If  $(a, b, \frac{1}{\sqrt{2}}(a+b))$  is an arbitrary vector in  $\mathcal{M}_+$  then

$$[f, f] = |a|^2 + |b|^2 - \frac{1}{2}|a+b|^2 = \frac{1}{2}|a-b|^2 \ge 0,$$

so  $\mathcal{M}_+$  is a *J*-nonnegative subspace of  $\mathbb{C}^3$ . But  $\mathcal{M}_+$  is not uniformly *J*-positive, because  $(1, 1, \sqrt{2}) \in \mathcal{M}_+$  is a (non trivial) *J*-neutral vector. Therefore,  $\mathcal{F}$  is not a *J*-frame for  $(\mathbb{C}^3, [\ ,\ ])$ .

The following is a handy way to construct J-frames for a given Krein space. Along this section, it will be shown that every J-frame can be realized in this way.

**Example 2.** Given a Krein space  $\mathcal{H}$  with fundamental symmetry J, let  $\mathcal{M}_+$  (resp.  $\mathcal{M}_-$ ) be a maximal uniformly J-positive (resp. J-negative) subspace of  $\mathcal{H}$ . If  $\mathcal{F}_{\pm} = \{f_i\}_{i \in I_{\pm}}$  is a frame for the Hilbert space  $(\mathcal{M}_{\pm}, \pm [\ ,\ ])$  then  $\mathcal{F} = \mathcal{F}_+ \cup \mathcal{F}_-$  is a J-frame for  $\mathcal{H}$ .

Indeed, by Remark 2.1,  $\mathcal{F}_+$  and  $\mathcal{F}_-$  are Bessel families in  $\mathcal{H}$ . Hence,  $\mathcal{F}$  is a Bessel family and, if  $I = I_+ \dot{\cup} I_-$  (the disjoint union of  $I_+$  and  $I_-$ ), the synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$  of  $\mathcal{F}$  is given by

$$Tx = T_{+}x_{+} + T_{-}x_{-}$$
 if  $x = x_{+} + x_{-} \in \ell_{2}(I_{+}) \oplus \ell_{2}(I_{-}) =: \ell_{2}(I)$ ,

where  $T_{\pm}: \ell_2(I_{\pm}) \to \mathcal{M}_{\pm}$  is the synthesis operator of  $\mathcal{F}_{\pm}$ . Then, it is clear that  $R(TP_{\pm}) = \mathcal{M}_{\pm}$  is a maximal uniformly J-definite subspace of  $\mathcal{H}$ .

**Proposition 3.2.** Let  $\mathcal{F} = \{f_i\}_{i \in I}$  be a *J*-frame for  $\mathcal{H}$ . Then,  $\mathcal{F}_{\pm} = \{f_i\}_{i \in I_{\pm}}$  is a frame for the Hilbert space  $(\mathcal{M}_{\pm}, \pm [\ ,\ ])$ , i.e. there exist constants  $B_- \leq A_- < 0 < A_+ \leq B_+$  such that

$$A_{\pm}[f, f] \le \sum_{i \in I_{\pm}} |[f, f_i]|^2 \le B_{\pm}[f, f] \quad \text{for every } f \in \mathcal{M}_{\pm}.$$
 (13)

**Proof.** Proof If  $\mathcal{F} = \{f_i\}_{i \in I}$  is a J-frame for  $\mathcal{H}$ , then  $R(T_+) = \mathcal{M}_+$  is a (maximal) uniformly J-positive subspace of  $\mathcal{H}$ . So,  $T_+$  is a surjection from  $\ell_2(I)$  onto the Hilbert space  $(\mathcal{M}_+, [\ ,\ ])$ . Therefore,  $\mathcal{F}_+$  is a frame for  $(\mathcal{M}_+, [\ ,\ ])$ . In particular, there exist constants  $0 < A_+ \le B_+$  such that Eq. (13) is satisfied for  $\mathcal{M}_+$ . The assertion on  $\mathcal{F}_-$  follows analogously.

Now, assuming that  $\mathcal{F}$  is a J-frame for a Krein space  $(\mathcal{H}, [\ ,\ ])$ , a set of constants  $\{B_-, A_-, A_+, B_+\}$  satisfying Eq. (13) is going to be computed. They depend only on the definiteness bounds for  $R(T_\pm)$ , the norm and the reduced minimum modulus of  $T_\pm$ .

Suppose that  $\mathcal{F}$  is a J-frame for a Krein space  $(\mathcal{H}, [\ ,\ ])$  with synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$ . Since  $R(T_+) = \mathcal{M}_+$  is a (maximal) uniformly J-positive subspace of  $\mathcal{H}$ , there exists  $\alpha_+ > 0$  such that  $\alpha_+ \|f\|^2 \leq [f, f]$  for every  $f \in \mathcal{M}_+$ . So,

$$\sum_{i \in I_{+}} |[f, f_{i}]|^{2} = ||T_{+}^{\#}f||^{2} \le ||T_{+}^{\#}||^{2} ||f||^{2} \le B_{+}[f, f], \quad \text{for every } f \in \mathcal{M}_{+},$$

where  $B_{+} = \frac{\|T_{+}^{\#}\|^{2}}{\alpha_{+}} = \frac{\|T_{+}\|^{2}}{\alpha_{+}}$ . Furthermore, since  $N(T_{+}^{\#})^{\perp} = J(\mathcal{M}_{+})$ , if  $f \in \mathcal{M}_{+}$ ,

$$\sum_{i \in I_{+}} |[f, f_{i}]|^{2} = ||T_{+}^{\#}f||^{2} = ||T_{+}^{\#}P_{J(\mathcal{M}_{+})}f||^{2} \ge \gamma (T_{+}^{\#})^{2} ||P_{J(\mathcal{M}_{+})}f||^{2} = \gamma (T_{+})^{2} ||P_{\mathcal{M}_{+}}Jf||^{2} = \gamma (T_{+})^{2} ||G_{\mathcal{M}_{+}}f||^{2} \ge \gamma (T_{+})^{2} \gamma (G_{\mathcal{M}_{+}})^{2} ||f||^{2} \ge A_{+}[f, f],$$

where 
$$A_{+} = \gamma (T_{+})^{2} \gamma (G_{\mathcal{M}_{+}})^{2} = \gamma (T_{+})^{2} \alpha_{+}^{2}$$
, see Remark 2.3.

Analogously,  $A_{-} = -\gamma (T_{-})^{2} \alpha_{-}^{2}$  and  $B_{-} = -\frac{\|T_{-}\|^{2}}{\alpha_{-}}$  satisfy Eq (13) for every  $f \in R(T_{-}) = \mathcal{M}_{-}$ , if  $\alpha_{-}$  is the definiteness bound of the (maximal) uniformly J-negative subspace  $\mathcal{M}_{-}$ .

Usually, the bounds  $A_{\pm} = \pm \alpha_{\pm}^2 \gamma(T_{\pm})^2$  and  $B_{\pm} = \pm \frac{\|T_{\pm}\|^2}{\alpha_{+}}$  are not optimal for the *J*-frame  $\mathcal{F}$ .

**Definition.** Let  $\mathcal{F}$  be a J-frame for the Krein space  $\mathcal{H}$ . The optimal constants  $B_- \leq A_- < 0 < A_+ \leq B_+$  satisfying Eq. (13) are called the J-frame bounds of  $\mathcal{F}$ .

In order to compute the *J*-frame bounds associated to a *J*-frame  $\mathcal{F} = \{f_i\}_{i \in I}$ , consider the uniformly *J*-definite subspaces  $\mathcal{M}_+$  and  $\mathcal{M}_-$ . Recall that  $\mathcal{F}_+ = \{f_i\}_{i \in I_+}$  is a frame for the Hilbert space  $(\mathcal{M}_+, [\ ,\ ])$ . Then, if  $G_+ = G_{\mathcal{M}_+}|_{\mathcal{M}_+} \in GL(\mathcal{M}_+)$ , the frame bounds for  $\mathcal{F}_+$  are given by  $A_+ = \|(S_{G_+})^{-1}\|_+^{-1}$  and  $B_+ = \|S_{G_+}\|_+$ , where  $S_{G_+} = T_+ T_+^* G_+$  is the frame operator of  $\mathcal{F}_+$  and  $\|f\|_+ = [f, f]^{1/2} = \|G_+^{1/2} f\|$ ,  $f \in \mathcal{M}_+$ , is the operator norm associated to the inner product  $[\ ,\ ]$ . Therefore,

$$A_{+} = \|(S_{G_{+}})^{-1}\|_{+}^{-1} = \|G_{+}^{1/2}(T_{+}T_{+}^{*}G_{+})^{-1}\|_{-1}^{-1} = \|G_{+}^{-1/2}(T_{+}T_{+}^{*})^{-1}\|_{-1}^{-1},$$

and  $B_+ = \|S_{G_+}\|_+ = \|G_+^{1/2}T_+T_+^*G_+\|$ . Analogously, it follows that  $\mathcal{F}_- = \{f_i\}_{i \in I_-}$  is a frame for the Hilbert space  $(\mathcal{M}_-, -[\ ,\ ])$ . So, the frame bounds for  $\mathcal{F}_-$  are given by

$$A_{-} = \|G_{-}^{-1/2}(T_{-}T_{-}^{*})^{-1}\|^{-1}$$
 and  $B_{-} = \|G_{-}^{1/2}T_{-}T_{-}^{*}G_{-}\|$ ,

where  $G_{-} = G_{\mathcal{M}_{-}}|_{\mathcal{M}_{-}} \in GL(\mathcal{M}_{-})$ . Thus, the *J*-frame bound associated to  $\mathcal{F}$  can be fully characterized in terms of  $T_{\pm}$  and the Gramian operators  $G_{\mathcal{M}_{\pm}}$ .

### 3.1 Characterizing *J*-frames in terms of frame inequalities

Given a Bessel family  $\mathcal{F} = \{f_i\}_{i \in I}$  in a Krein space  $\mathcal{H}$ , the inequalities:

$$A[f, f] \le \sum_{i \in I} |[f, f_i]|^2 \le B[f, f] \quad \text{for every } f \in \mathcal{M} = \overline{\text{span}\{f_i : i \in I\}}, \tag{14}$$

with  $B \ge A > 0$ , ensure that  $\mathcal{M}$  is a J-nonnegative subspace of  $\mathcal{H}$ . However, they do not imply that  $\mathcal{M}$  is uniformly J-positive, i.e.  $(\mathcal{M}, [\ ,\ ])$  is not necessarily a inner product space. See the example below.

**Example 3.** Consider again the Krein space  $(\mathbb{C}^3, [\ ,\ ])$  as in Example 1. As it was mentioned before,  $\mathcal{M} = \operatorname{span}\{f_1 = (1,0,1/\sqrt{2}), f_2 = (0,1,1/\sqrt{2})\}$  is a J-nonnegative but not uniformly J-positive subspace of  $\mathbb{C}^3$ .

In this case, the orthogonal basis

$$v_1 = (\frac{1}{2}, \frac{1}{2}, \frac{1}{\sqrt{2}}), \ v_2 = (\frac{1}{\sqrt{2}}, \frac{-1}{\sqrt{2}}, 0) \text{ and } v_3 = (\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, -1),$$

is a basis of eigenvectors of  $G_{\mathcal{M}}$ , corresponding to the eigenvalues  $\lambda_1 = 0$ ,  $\lambda_2 = 1$  and  $\lambda_3 = 0$ , respectively. Moreover,  $\mathcal{M} = \text{span}\{v_1, v_2\}$ . Thus, if  $f \in \mathcal{M}$  there exists  $\alpha, \beta \in \mathbb{C}$  such that  $f = \alpha v_1 + \beta v_2$  and then, since  $G_{\mathcal{M}}v_1 = 0 \in \mathbb{C}^3$ , it is easy to see that

$$|[f, f_1]|^2 + |[f, f_2]|^2 = |\beta|^2 (|\langle v_2, f_1 \rangle|^2 + |\langle v_2, f_2 \rangle|^2) = |\beta|^2 = [f, f].$$

Therefore, Eq. (14) holds with A = B = 1, but  $\{f_1, f_2\}$  cannot be extended to a *J*-frame, since  $\mathcal{M}$  is not a uniformly *J*-positive subspace.

The next result gives a complete characterization of the families satisfying Eq. (14) for  $B \ge A > 0$ . It is straightforward to formulate and prove analogues of all these assertions for a family satisfying Eq. (14) for negative constants  $B \le A < 0$ .

**Proposition 3.3.** Given a Bessel family  $\mathcal{F} = \{f_i\}_{i \in I}$  in a Krein space  $\mathcal{H}$ , let  $\mathcal{M} = \overline{span\{f_i : i \in I\}}$  and  $\mathcal{N} = \mathcal{M} \cap \mathcal{M}^{[\perp]}$ . If there exist constants  $0 < A \leq B$  such that

$$A[f, f] \le \sum_{i \in I} |[f, f_i]|^2 \le B[f, f] \quad \text{for every } f \in \mathcal{M}, \tag{15}$$

then  $\mathcal{M} \ominus \mathcal{N}$  is a (closed) uniformly J-positive subspace of  $\mathcal{M}$ . Moreover, if  $\mathcal{F}$  is a frame for the Hilbert space  $(\mathcal{M}, \langle \ , \ \rangle)$ , the converse holds.

**Proof.** Proof First, suppose that there exist  $0 < A \le B$  such that Eq. (15) holds. So,  $\mathcal{M}$  is a *J*-nonnegative subspace of  $\mathcal{H}$ , or equivalently,  $(\mathcal{M},[\ ,\ ])$  is a semi-inner product space.

If  $T \in L(\ell_2(I), \mathcal{H})$  is the synthesis operator of the Bessel sequence  $\mathcal{F}$  and  $C = ||T^*||^2 > 0$ , then  $TT^* \leq CP_{\mathcal{M}}$ . So, using Eq. (15) it is easy to see that:

$$A \langle G_{\mathcal{M}} f, f \rangle \le \|T^{\#}(P_{\mathcal{M}} f)\|^{2} = \langle (P_{\mathcal{M}} J T T^{*} J P_{\mathcal{M}}) f, f \rangle \le C \langle (G_{\mathcal{M}})^{2} f, f \rangle, \quad f \in \mathcal{H}.$$
 (16)

Thus,  $0 \le G_{\mathcal{M}} \le \frac{C}{4} (G_{\mathcal{M}})^2$ . Applying Douglas' theorem [11] it is easy to see that

$$R((G_{\mathcal{M}})^{1/2}) \subseteq R(G_{\mathcal{M}}) \subseteq R((G_{\mathcal{M}})^{1/2}).$$

Moreover, it follows that  $R(G_{\mathcal{M}})$  is closed because  $R(G_{\mathcal{M}}) = R((G_{\mathcal{M}})^{1/2})$ .

Let  $\mathcal{M}' = \mathcal{M} \ominus \mathcal{N}$  and notice that  $\mathcal{M}'$  is a closed uniformly J-positive subspace of  $\mathcal{H}$ . In fact, since  $R(G_{\mathcal{M}})$  is closed, there exists  $\alpha > 0$  such that

$$[f,f] = \langle G_{\mathcal{M}}f,f \rangle = \|(G_{\mathcal{M}})^{1/2}f\|^2 \ge \alpha \|f\|^2 \quad \text{for every } f \in N(G_{\mathcal{M}})^{\perp} = \mathcal{M} \ominus \mathcal{N}.$$

Conversely, suppose that  $\mathcal{F}$  is a frame for  $(\mathcal{M}, \langle , \rangle)$ , i.e. there exist constants  $B' \geq A' > 0$  such that

$$A'P_{\mathcal{M}} \le TT^* \le B'P_{\mathcal{M}},$$

where  $T \in L(\ell_2(I), \mathcal{M})$  is the synthesis operator of  $\mathcal{F}$ . If  $\mathcal{M}' = \mathcal{M} \ominus \mathcal{N}$  is a uniformly J-positive subspace of  $\mathcal{H}$ , then there exists  $\alpha > 0$  such that  $\alpha P_{\mathcal{M}'} \leq G_{\mathcal{M}'} \leq P_{\mathcal{M}'}$ . As a consequence of Douglas' theorem,  $R((G_{\mathcal{M}'})^{1/2}) = \mathcal{M}' = R(G_{\mathcal{M}'})$ . Since  $G_{\mathcal{M}} = G_{\mathcal{M}'}$  it is easy to see that

$$A'(G_{\mathcal{M}})^2 = A'(G_{\mathcal{M}'})^2 \le P_{\mathcal{M}}JTT^*JP_{\mathcal{M}} \le B'(G_{\mathcal{M}'})^2 = B'(G_{\mathcal{M}})^2.$$

Therefore,  $R(P_{\mathcal{M}}JT) = R(G_{\mathcal{M}'}) = R((G_{\mathcal{M}'})^{1/2})$ , or equivalently, there exist  $B \ge A > 0$  such that

$$AG_{\mathcal{M}} = AG_{\mathcal{M}'} \leq P_{\mathcal{M}}JTT^*JP_{\mathcal{M}} \leq BG_{\mathcal{M}'} = BG_{\mathcal{M}},$$

i.e.  $A[f, f] \leq \sum_{i \in I} |[f, f_i]|^2 \leq B[f, f]$  for every  $f \in \mathcal{M}$ .

**Theorem 3.4.** Let  $\mathcal{F} = \{f_i\}_{i \in I}$  be a frame for  $\mathcal{H}$ . If  $I_{\pm} = \{i \in I : \pm [f_i, f_i] \geq 0\}$  and  $\mathcal{M}_{\pm} = \{\text{span}\{f_i : i \in I_{\pm}\} \text{ then, } \mathcal{F} \text{ is a } J\text{-frame if and only if } \mathcal{M}_{\pm} \cap \mathcal{M}_{\pm}^{[\perp]} = \{0\} \text{ and there exist constants } B_{-} \leq A_{-} < 0 < A_{+} \leq B_{+} \text{ such that}$ 

$$A_{\pm}[f, f] \le \sum_{i \in I_{+}} |[f, f_{i}]|^{2} \le B_{\pm}[f, f] \quad \text{for every } f \in \mathcal{M}_{\pm}.$$
 (17)

**Proof.** Proof If  $\mathcal{F}$  is a *J*-frame, the conditions on  $\mathcal{M}_{\pm}$  follow by its definition and by Proposition 3.2. Conversely, if  $\mathcal{M}_{+}$  is *J*-non degenerated and there exist constants  $0 < A_{+} \leq B_{+}$  such that

$$A_{+}[f, f] \le \sum_{i \in I_{+}} |[f, f_{i}]|^{2} \le B_{+}[f, f]$$
 for every  $f \in \mathcal{M}_{+}$ ,

then, by Proposition 3.3,  $\mathcal{M}_+$  is a uniformly *J*-positive subspace of  $\mathcal{H}$ . Therefore, there exist constants  $0 < A \leq B$  such that

$$A \|P_{\mathcal{M}_+}f\|^2 \le \|T_+^{\#}P_{\mathcal{M}_+}f\|^2 \le B\|P_{\mathcal{M}_+}f\|^2 \quad \text{for every } f \in \mathcal{H}.$$

But these inequalities can be rewritten as

$$A P_{\mathcal{M}_{\perp}} \leq P_{\mathcal{M}_{\perp}} J T_{+} T_{+}^{*} J P_{\mathcal{M}_{\perp}} \leq B P_{\mathcal{M}_{\perp}}.$$

Then, by Douglas' theorem,  $R(P_{\mathcal{M}_+}JT_+) = R(P_{\mathcal{M}_+}) = \mathcal{M}_+$ . Furthermore,  $P_{J(\mathcal{M}_+)}(R(T_+)) = J(\mathcal{M}_+)$  because

$$J(\mathcal{M}_{+}) = J(R(P_{\mathcal{M}_{+}}JT_{+})) = R((JP_{\mathcal{M}_{+}}J)T_{+}) = R(P_{J(\mathcal{M}_{+})}T_{+}) = P_{J(\mathcal{M}_{+})}(R(T_{+})).$$

Therefore, taking the counterimage of  $P_{J(\mathcal{M}_{+})}(R(T_{+}))$  by  $P_{J(\mathcal{M}_{+})}$ , it follows that

$$\mathcal{H} = R(T_+) \dotplus J(\mathcal{M}_+)^{\perp} \subseteq \mathcal{M}_+ \dotplus \mathcal{M}_+^{[\perp]} = \mathcal{H}.$$

Thus,  $R(T_+) = \mathcal{M}_+$  and  $\mathcal{F}_+$  is a frame for  $\mathcal{M}_+$ . Analogously,  $\mathcal{F}_- = \{f_i\}_{i \in I_-}$  is a frame for  $\mathcal{M}_-$ . Finally, since  $\mathcal{F}$  is a frame for  $\mathcal{H}$ ,

$$\mathcal{H} = R(T) = R(T_{+}) + R(T_{-}),$$

which proves the maximality of  $R(T_{\pm})$ . Thus,  $\mathcal{F}$  is a *J*-frame for  $\mathcal{H}$ .

### 3.2 A geometrical characterization of *J*-frames

Let  $\mathcal{F} = \{f_i\}_{i \in I}$  be a J-frame for  $\mathcal{H}$  and consider  $\mathcal{F} = \mathcal{F}_+ \cup \mathcal{F}_+$  the partition of  $\mathcal{F}$  into J-positive and J-negative vectors. Moreover, let  $\mathcal{M}_{\pm}$  be the (maximal) uniformly J-definite subspace of  $\mathcal{H}$  generated by  $\mathcal{F}_+$ .

The aim of this section is to show that it is possible to bound the correlation between vectors in  $\mathcal{F}_+$  (resp.  $\mathcal{F}_-$ ) and vectors in the cone of neutral vectors  $\mathcal{C} = \{n \in \mathcal{H} : [n, n] = 0\}$ , in a strong sense:

$$|\langle f, n \rangle| \le c_{\pm} \|f\| \|n\|, \quad f \in \mathcal{M}_{\pm}, \quad n \in \mathcal{C},$$
 (18)

for some constants  $\frac{\sqrt{2}}{2} \le c_{\pm} < 1$ . In order to make these ideas precise, consider the notion of minimal angle between a subspace  $\mathcal{M}$  and the cone  $\mathcal{C}$ .

**Definition.** Given a closed subspace  $\mathcal{M}$  of the Krein space  $\mathcal{H}$ , consider

$$c_0(\mathcal{M}, \mathcal{C}) = \sup\{ |\langle m, n \rangle| : m \in \mathcal{M}, n \in \mathcal{C}, ||n|| = ||m|| = 1 \},$$
 (19)

Then, there exists a unique  $\theta(\mathcal{M}, \mathcal{C}) \in [0, \frac{\pi}{4}]$  such that  $\cos(\theta(\mathcal{M}, \mathcal{C})) = c_0(\mathcal{M}, \mathcal{C})$ . In this case,  $\theta(\mathcal{M}, \mathcal{C})$  is the *minimal angle* between  $\mathcal{M}$  and  $\mathcal{C}$ .

Observe that if the subspace  $\mathcal{M}$  contains a non trivial J-neutral vector (e.g. if  $\mathcal{M}$  is J-indefinite or J-semidefinite) then  $c_0(\mathcal{M}, \mathcal{C}) = 1$ , or equivalently,  $\theta(\mathcal{M}, \mathcal{C}) = 0$ . On the other hand, it will be shown that the minimal angle between a uniformly J-positive (resp. uniformly J-negative) subspace  $\mathcal{M}$  and  $\mathcal{C}$  is always bounded away from 0.

**Proposition 3.5.** Let  $\mathcal{M}$  be a J-semidefinite subspace of  $\mathcal{H}$  with definiteness bound  $\alpha$ . Then,

$$c_0(\mathcal{M}, \mathcal{C}) = \frac{1}{\sqrt{2}} \left( \sqrt{\frac{1+\alpha}{2}} + \sqrt{\frac{1-\alpha}{2}} \right). \tag{20}$$

In particular,  $\mathcal{M}$  is uniformly J-definite if and only if  $c_0(\mathcal{M}, \mathcal{C}) < 1$ .

**Proof.** Proof Let  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$  be a fundamental decomposition of  $\mathcal{H}$  and suppose that  $\mathcal{M}$  is a J-nonnegative subspace of  $\mathcal{H}$ .

Let  $m \in \mathcal{M}$  with ||m|| = 1. Then, there exist (unique)  $m^{\pm} \in \mathcal{H}_{\pm}$  such that  $m = m^{+} + m^{-}$ . In this case,

$$1 = ||m||^2 = ||m^+||^2 + ||m^-||^2 \quad \text{and} \quad \alpha \le \lceil m, m \rceil = ||m^+||^2 - ||m^-||^2.$$
 (21)

**Claim:** Fixed  $m \in \mathcal{M}$  with ||m|| = 1,  $\sup\{|\langle m, n \rangle| : n \in \mathcal{C}, ||n|| = 1\} = \frac{1}{\sqrt{2}}(||m^+|| + ||m^-||)$ .

Indeed, consider  $n \in \mathcal{C}$  with ||n|| = 1. Then, there exist (unique)  $n_{\pm} \in \mathcal{H}_{\pm}$  such that  $n = n^{+} + n^{-}$ . In this case,

$$0 = [n, n] = ||n^+||^2 - ||n^-||^2$$
 and  $1 = ||n||^2 = ||n^+||^2 + ||n^-||^2$ ,

which imply that  $||n^+|| = ||n^-|| = \frac{1}{\sqrt{2}}$ . Therefore,

$$|\langle m, n \rangle| \le |\langle m^+, n^+ \rangle| + |\langle m^-, n^- \rangle| \le \frac{1}{\sqrt{2}} (||m^+|| + ||m^-||).$$

On the other hand, if  $m^- \neq 0$  then let  $n_m := \frac{1}{\sqrt{2}} \left( \frac{m^+}{\|m^+\|} + \frac{m^-}{\|m^-\|} \right)$ , otherwise consider  $n_m = \frac{1}{\sqrt{2}} (m+z)$ , with  $z \in \mathcal{H}_-$ ,  $\|z\| = 1$ . Now, it is easy to see that  $n_m \in \mathcal{C}$  and that  $|\langle m, n_m \rangle| = \frac{1}{\sqrt{2}} (\|m^+\| + \|m^-\|)$  which together with the previous facts prove the claim.

Now, let  $\mathcal{M}_1 = \{m = m^+ + m^- \in \mathcal{M}: m^{\pm} \in \mathcal{H}_{\pm}, \|m\| = 1\}$ . Using the claim above it follows that

$$c_0(\mathcal{M}, \mathcal{C}) = \frac{1}{\sqrt{2}} \sup_{m \in \mathcal{M}_1} (\|m^+\| + \|m^-\|).$$
 (22)

If  $\alpha = 1$  then  $\mathcal{M}$  is a subspace of  $\mathcal{H}_+$ . Also, it is easy to see that  $c_0(\mathcal{M}, \mathcal{C}) = \frac{1}{\sqrt{2}}$ . Thus, in this particular case,  $c_0(\mathcal{M}, \mathcal{C}) = \frac{1}{\sqrt{2}} \left( \sqrt{\frac{1+\alpha}{2}} + \sqrt{\frac{1-\alpha}{2}} \right)$ .

On the other hand, if  $\alpha < 1$ , let  $k_0 \in \mathbb{N}$  be such that  $\frac{1-\alpha}{2} > \frac{1}{2k_0}$ . Observe that, by the definition of the definiteness bound, for every integer  $k \geq k_0$  there exists  $m_k = m_k^+ + m_k^- \in \mathcal{M}_1$  such that  $\alpha \leq \|m_k^+\|^2 - \|m_k^-\|^2 < \alpha + \frac{1}{k}$ . Then, it follows that

$$\alpha + 1 \le 2||m_k^+||^2 < \alpha + 1 + \frac{1}{k},$$

or equivalently,  $\sqrt{\frac{\alpha+1}{2}} \leq \|m_k^+\| < \sqrt{\frac{\alpha+1}{2} + \frac{1}{2k}}$ . Moreover,  $\|m_k^-\| = \sqrt{1 - \|m_k^+\|^2}$  implies that

$$\sqrt{\frac{1-\alpha}{2}-\frac{1}{2k}}<\|m_k^-\|\leq \sqrt{\frac{1-\alpha}{2}}.$$

Therefore, for every integer  $k \geq k_0$  there exists  $m_k \in \mathcal{M}_1$  such that

$$\sqrt{\frac{1-\alpha}{2}-\frac{1}{2k}}+\sqrt{\frac{\alpha+1}{2}}<\|m_k^+\|+\|m_k^-\|<\sqrt{\frac{\alpha+1}{2}+\frac{1}{2k}}+\sqrt{\frac{1-\alpha}{2}}.$$

Thus,  $c_0(\mathcal{M}, \mathcal{C}) = \frac{1}{\sqrt{2}} \left( \sqrt{\frac{1+\alpha}{2}} + \sqrt{\frac{1-\alpha}{2}} \right).$ 

Assume now that  $\mathcal{M}$  is a J-nonpositive subspace of  $(\mathcal{H}, [\ ,\ ])$  with definiteness bound  $\alpha$ , for  $0 \le \alpha \le 1$ . Then,  $\mathcal{M}$  is a J-nonnegative subspace of the antispace  $(\mathcal{H}, -[\ ,\ ])$ , with the same definiteness bound  $\alpha$ . Furthermore, the cone of J-neutral vectors for the antispace is the same as for the initial Krein space  $(\mathcal{H}, [\ ,\ ])$ . Therefore, we can apply the previous arguments and conclude that Eq.(20) also holds for J-nonpositive subspaces.

Finally, the last assertion in the statement follows from the formula in Eq. (20).

Let  $\mathcal{F}$  be a J-frame for  $\mathcal{H}$  as above. Notice that the Eq. (18) holds for some constant  $\frac{\sqrt{2}}{2} \leq c_{\pm} < 1$  if and only if  $c_0(\mathcal{M}_{\pm}, \mathcal{C}) < 1$ , i.e. that the minimal angles  $\theta(\mathcal{M}_{\pm}, \mathcal{C})$  are bounded away from 0. This is intimately related with the fact that the aperture between the subspaces  $\mathcal{M}_+$  (resp.  $\mathcal{M}_-$ ) and  $\mathcal{H}_+$  (resp.  $\mathcal{H}_-$ ) is bounded away from  $\frac{\pi}{4}$ , whenever  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$  is a fundamental decomposition.

**Remark 3.6.** Given a Krein space  $\mathcal{H}$ , fix a fundamental decomposition  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$ . Then, if  $\mathcal{M}$  is a J-nonnegative subspace of  $\mathcal{H}$  the minimal angle between  $\mathcal{M}$  and  $\mathcal{C}$  is related with the aperture  $\Phi(\mathcal{M}, \mathcal{H}_+)$  between the subspaces  $\mathcal{M}$  and  $\mathcal{H}_+$ , see [1] and Exercises 3–6 to [18, Ch. 1, §8]. In fact, if  $K \in L(\mathcal{H}_+, \mathcal{H}_-)$  is the angular operator associated to  $\mathcal{M}$  then, by [18, Ch. 1, §8 Exercise 4],

$$\Phi(\mathcal{M}, \mathcal{H}_+) = \frac{\|K\|}{\sqrt{1 + \|K\|^2}}.$$

Also, if  $\alpha$  is the definiteness bound of  $\mathcal{M}$  then  $||K|| = \sqrt{\frac{1-\alpha}{1+\alpha}}$ , see [18, Ch. 1, Lemma 8.4]. Therefore,  $\Phi(\mathcal{M}, \mathcal{H}_+) = \frac{||K||}{\sqrt{1+||K||^2}} = \sqrt{\frac{1-\alpha}{2}}$ . Since  $\Phi(\mathcal{M}, \mathcal{H}_+) = \sin \varphi(\mathcal{M}, \mathcal{H}_+)$  for an angle  $\varphi(\mathcal{M}, \mathcal{H}_+) \in [0, \frac{\pi}{4}]$  between  $\mathcal{M}$  and  $\mathcal{H}_+$ , it is easy to see that

$$\cos \varphi(\mathcal{M}, \mathcal{H}_+) = \sqrt{1 - \sin^2 \varphi(\mathcal{M}, \mathcal{H}_+)} = \sqrt{\frac{1 + \alpha}{2}}.$$

Therefore, if  $\varphi = \varphi(\mathcal{M}, \mathcal{H}_+)$ ,

$$\cos(\frac{\pi}{4} - \varphi) = \frac{\sqrt{2}}{2} (\cos \varphi + \sin \varphi) = \frac{1}{\sqrt{2}} \left( \sqrt{\frac{1+\alpha}{2}} + \sqrt{\frac{1-\alpha}{2}} \right) = c_0(\mathcal{M}, \mathcal{C}),$$

i.e.  $\varphi(\mathcal{M}, \mathcal{H}_+) + \theta(\mathcal{M}, \mathcal{C}) = \frac{\pi}{4}$ .

The following result shows that, given a frame  $\mathcal{F} = \{f_i\}_{i \in I}$  for  $\mathcal{H}$ , the positivity of the angles  $\theta(\mathcal{M}_{\pm}, \mathcal{C})$  characterize it as a J-frame for  $\mathcal{H}$ .

**Proposition 3.7.** Let  $\mathcal{F} = \{f_i\}_{i \in I}$  be a frame for a Krein space  $\mathcal{H}$ . Then,  $\mathcal{F}$  is a J-frame for  $\mathcal{H}$  if and only if there exists a partition  $I = I_1 \cup I_2$  such that

$$\theta(\mathcal{M}_i, \mathcal{C}) > 0 \quad \text{for } j = 1, 2,$$
 (23)

where  $\mathcal{M}_i = \overline{\operatorname{span}\{f_i : i \in I_i\}}$ .

**Proof.** Proof If we assume that  $\mathcal{F}$  is a J-frame then, consider  $I_{\pm}$  and  $\mathcal{M}_{\pm}$  as usual. Then  $I = I_{+} \cup I_{-}$  is a partition of I into disjoint sets and  $\mathcal{M}_{\pm}$  are uniformly J-definite subspaces associated to  $\mathcal{F}$ . Hence, by Proposition 3.5, we see that Eq. (23) holds in this case.

Conversely, assume that there exists a partition of I with the properties above. Notice that Proposition 3.5 implies that  $\mathcal{M}_j$  is a uniformly J-definite subspace of  $\mathcal{H}$ , for j=1,2. On the other hand, since  $\mathcal{F}$  is a frame,  $\mathcal{H} \subseteq \mathcal{M}_1 + \mathcal{M}_2$ . Therefore,  $\mathcal{M}_1$  and  $\mathcal{M}_2$  have different positivity and they are maximal uniformly J-definite subspaces. Suppose that  $\mathcal{M}_1$  is uniformly J-positive and  $\mathcal{M}_2$  is uniformly J-negative.

Then, consider the orthogonal projection  $P_j \in L(\ell_2(I))$  onto the subspace  $\ell_2(I_j)$ , for j = 1, 2. If  $\mathcal{F} = \{f_i\}_{i \in I}$  is a frame for  $\mathcal{H}$ , its synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$  is surjective. Therefore,

$$R(T_1) \dotplus R(T_2) = R(T) = \mathcal{H},$$

where  $T_j = TP_j$ , for j = 1, 2. Then, it is easy to see that  $R(T_j) = \mathcal{M}_j$  for j = 1, 2 and  $\mathcal{F}$  is a *J*-frame for  $\mathcal{H}$ .

**Remark 3.8.** Let  $(\mathcal{H}, \langle , \rangle)$  be a separable Hilbert space that models a signal space in which is considered a linear (robust and stable) encoding-decoding scheme for certain measurements, i.e. consider a (redundant) frame  $\mathcal{G} = \{g_i\}_{i \in K}$  for  $\mathcal{H}$ .

Assume that the measurements of  $x \in \mathcal{H}$  are given by  $y_1 = Px$  and  $y_2 = (I - P)x$ , where  $P \in L(\mathcal{H})$  is an orthogonal projection (for instance, P and I - P are low pass and high pass filters, respectively). Suppose that the signals having the same energy in R(P) and R(I - P) = N(P) (i.e. signals  $x \in \mathcal{H}$  such that  $||y_1||^2 = ||y_2||^2$ ) are considered disturbances, see e.g. [5, 20].

Notice that, sampling the measurements  $y_1, y_2$  with the frame  $\mathcal{G}$  is the same as sampling  $y = (y_1, y_2) \in \mathcal{H} \times \mathcal{H}$  with the frame  $\mathcal{F} = \{f_i\}_{i \in I} = \{(g_i, 0)\}_{i \in K} \cup \{(0, g_i)\}_{i \in K}$  for  $\mathcal{H} \times \mathcal{H}$ .

It is easy to see that, the space  $\mathcal{K} = \mathcal{H} \times \mathcal{H}$  with the indefinite product  $[y, z] = \langle y_1, z_1 \rangle - \langle y_2, z_2 \rangle$  is a Krein space, where  $y = (y_1, y_2), z = (z_1, z_2) \in \mathcal{K}$  are the measurements of signals in  $\mathcal{H}$ . Observe that the set of disturbances is characterized as the set of J-neutral vectors  $\mathcal{C}$  of  $\mathcal{K}$ .

Also, notice that  $\mathcal{F}$  is a J-frame for  $\mathcal{K}$ . Hence, the (sampling) vectors of the frame  $\mathcal{F}$  are away from the disturbances set  $\mathcal{C}$ .

Now, consider any (redundant) J-frame  $\mathcal{F} = \{f_i\}_{i \in I}$  for  $(\mathcal{K}, [\ ,\ ])$ . As usual, denote  $\mathcal{M}_+$  and  $\mathcal{M}_-$  the maximal uniformly J-definite subspaces generated by  $\mathcal{F}$ . Since  $\mathcal{M}_{\pm}$  is uniformly J-definite, Proposition 3.5 shows that  $c_0(\mathcal{M}_{\pm}, \mathcal{C}) < 1$ , which is a bound for the correlation between the sampling vectors in  $\mathcal{F}$  and the distrubances of  $\mathcal{C}$  because

$$|\langle f_i, n \rangle| \le c_0(\mathcal{M}_{\pm}, \mathcal{C}) ||f_i|| ||n|| \quad \text{whenever } i \in I_{\pm} \text{ and } n \in \mathcal{C}.$$
 (24)

That is, J-frames provide a class of frames for  $\mathcal{K}$  with the desired properties. Moreover, later in Proposition 5.3, it will be shown that the J-frame  $\mathcal{F}$  admits a (canonical) dual J-frame that induces a linear (indefinite) stable and redundant encoding-decoding scheme in which the correlation between both the sampling and reconstructing vectors and the cone of neutral vectors is bounded from above. These remarks provide a quantitative measure of the advantage of considering J-frames with respect to usual frames in this setting.

# 4 On the synthesis operator of a *J*-frame

If  $\mathcal{F}$  is a *J*-frame with synthesis operator T, then  $QT = T_+ = TP_+$ , where  $Q = P_{\mathcal{M}_+//\mathcal{M}_-}$ . Therefore,

$$Q = QTT^{\dagger} = TP_{+}T^{\dagger}.$$

So, given a surjective operator  $T: \ell_2(I) \to \mathcal{H}$ , the idempotency of  $TP_+T^{\dagger}$  is a necessary condition for T to be the synthesis operator of a J-frame.

**Lemma 4.1.** Let  $T \in L(\ell_2(I), \mathcal{H})$  be surjective. Suppose that  $P_{\mathcal{S}}$  is the orthogonal projection onto a closed subspace  $\mathcal{S}$  of  $\ell_2(I)$  such that  $c(\mathcal{S}, N(T)^{\perp}) < 1$ . Then,  $TP_{\mathcal{S}}T^{\dagger}$  is a projection if and only if

$$N(T) = \mathcal{S} \cap N(T) \oplus \mathcal{S}^{\perp} \cap N(T).$$

**Proof.** Proof Suppose that  $Q = TP_{\mathcal{S}}T^{\dagger}$  is a projection. Then, if  $P = P_{N(T)^{\perp}}$ ,  $E = PP_{\mathcal{S}}P$  is an orthogonal projection because it is selfadjoint and

$$E^{2} = (PP_{S}P)^{2} = PP_{S}PP_{S}P = T^{\dagger}(TP_{S}T^{\dagger})^{2}T = T^{\dagger}(TP_{S}T^{\dagger})T = PP_{S}P = E.$$

Therefore,  $(PP_S)^k = E^{k-1}P_S = EP_S = (PP_S)^2$  for every  $k \ge 2$ . So, by [10, Lemma 18],

$$PP_{\mathcal{S}} = P_{\mathcal{S}} \wedge P = P_{\mathcal{S}}P.$$

Then, since  $P_{\mathcal{S}}$  and P commute, it follows that  $N(T) = \mathcal{S} \cap N(T) \oplus \mathcal{S}^{\perp} \cap N(T)$  (see [10, Lemma 9]). Conversely, suppose that  $N(T) = \mathcal{S} \cap N(T) \oplus \mathcal{S}^{\perp} \cap N(T)$ . Then,  $P_{\mathcal{S}}$  and P commute and

$$(TP_ST^{\dagger})^2 = TP_S(T^{\dagger}T)P_ST^{\dagger} = TP_SPP_ST^{\dagger} = TPP_ST^{\dagger} = TP_ST^{\dagger}.$$

Hereafter consider the set of possible decompositions of  $\mathcal{H}$  as a (direct) sum of a pair of maximal uniformly definite subspaces, or equivalently, the associated set of projections:

$$Q = \{Q \in L(\mathcal{H}) : Q^2 = Q, R(Q) \text{ is uniformly } J\text{-positive and } N(Q) \text{ is uniformly } J\text{-negative}\}.$$

**Proposition 4.2.** Let  $T \in L(\ell_2(I), \mathcal{H})$  be surjective. Then, T is the synthesis operator of a J-frame if and only if there exists  $I_+ \subset I$  such that  $\ell_2(I_+)$  (as a subspace of  $\ell_2(I)$ ) satisfies  $c(N(T)^{\perp}, \ell_2(I_+)) < 1$ 

$$TP_{+}T^{\dagger} \in \mathcal{Q},$$

where  $P_+ \in L(\ell_2(I))$  is the orthogonal projection onto  $\ell_2(I_+)$ .

**Proof.** Proof If T is the synthesis operator of a J-frame, the existence of such a subset  $I_+$  has already been discussed before.

Conversely, suppose that there exists such a subset  $I_+$  of I. Then, since  $c(N(T)^{\perp}, \ell_2(I_+)) < 1$  and  $Q = TP_+T^{\dagger} \in \mathcal{Q}$ , it follows from Lemma 4.1 that  $P_+$  and  $P = P_{N(T)^{\perp}}$  commute. Therefore,

$$QT = TP_{+}P = TPP_{+} = TP_{+},$$

and  $(I-Q)T = T(I-P_+)$ . Hence,  $R(TP_+) = R(Q)$  is (maximal) uniformly J-positive and  $R(T(I-P_+)) =$ N(Q) is (maximal) uniformly J-negative. Therefore  $\mathcal{F} = \{Te_i\}_{i \in I}$  is by definition a J-frame for  $\mathcal{H}$ .

**Theorem 4.3.** Given a surjective operator  $T \in L(\ell_2(I), \mathcal{H})$ , the following conditions are equivalent:

- 1. There exists  $U \in \mathcal{U}(\ell_2(I))$  such that TU is the synthesis operator of a J-frame.
- 2. There exists  $Q \in \mathcal{Q}$  such that

$$QTT^*(I-Q)^* = 0. (25)$$

3. There exist closed range operators  $T_1, T_2 \in L(\ell_2(I), \mathcal{H})$  such that  $T = T_1 + T_2$ ,  $R(T_1)$  is uniformly *J-positive*,  $R(T_2)$  is uniformly *J-negative* and  $T_1T_2^* = T_2T_1^* = 0$ .

**Proof.** Proof 1.  $\Rightarrow$  2.: Suppose that there exists  $U \in \mathcal{U}(\ell_2(I))$  such that V = TU is the synthesis operator of a *J*-frame. If  $I_{\pm} = \{i \in I : \pm [Ve_i, Ve_i] > 0\}$  and  $P_{\pm} \in L(\ell_2(I))$  is the orthogonal projection onto  $\ell_2(I_{\pm})$ , define  $V_{\pm} = VP_{\pm}$ . Then,  $V = V_+ + V_-$  and  $\mathcal{M}_{\pm} = R(V_{\pm})$  is a maximal uniformly J-definite subspace. So, considering  $Q = P_{\mathcal{M}_+//\mathcal{M}_-} \in \mathcal{Q}$ , it is easy to see that  $QV = V_+$ ,  $(I - Q)V = V_-$  and

$$QTT^*(I-Q)^* = QVV^*(I-Q)^* = V_+V_-^* = VP_+P_-V^* = 0.$$

2.  $\Rightarrow$  3.: Suppose that there exists  $Q \in \mathcal{Q}$  such that  $QTT^*(I-Q)^*=0$ . Defining  $T_1=QT$  and  $T_2=(I-Q)T$ , it follows that  $T=T_1+T_2$ ,  $R(T_1)=R(Q)$  is uniformly J-positive,  $R(T_2)=N(Q)$  is uniformly J-negative and

$$T_1T_2^* = T_2T_1^* = 0,$$

because Eq. (25) says that  $R(T_2^*) = R(T^*(I-Q)^*) \subseteq N(QT) = N(T_1)$ . 3.  $\Rightarrow$  1.: If there exist closed range operators  $T_1, T_2 \in L(\ell_2(I), \mathcal{H})$  satisfying the conditions of item 3., notice that  $T_1T_2^*=0$  implies that  $N(T_2)^{\perp}\subseteq N(T_1)$ , or equivalently,  $N(T_1)^{\perp}\subseteq N(T_2)$ .

Consider the projection  $Q = P_{R(T_1)//R(T_2)} \in \mathcal{Q}$  and notice that  $QT = T_1$  and  $(I - Q)T = T_2$ . If  $\mathcal{B}_1 = \{u_i\}_{i \in I_1}$  is an orthonormal basis of  $N(T_1)^{\perp}$ , consider the family  $\{f_i^+\}_{i \in I_1}$  in  $\mathcal{H}$  given by  $f_i^+ = Tu_i$ . But, if  $i \in I_1$ ,

$$f_i^+ = QTu_i + (I - Q)Tu_i = T_1u_i \in R(T_1),$$

because  $u_i \in N(T_1)^{\perp} \subseteq N(T_2)$ . Therefore,  $\{f_i^+\}_{i \in I_1} \subseteq R(T_1)$ . Since  $T_1$  is an isomorphism between  $N(T_1)^{\perp}$  and  $R(T_1)$ , it follows that  $R(T_1) = \overline{\operatorname{span}\{f_i^-\}_{i \in I_1}}$ .

Analogously, if  $\mathcal{B}_2 = \{b_i\}_{i \in I_2}$  is an orthonormal basis of  $N(T_1)$ , the family  $\{f_i^-\}_{i \in I_2}$  defined by  $f_i^- = Tb_i \ (i \in I_2)$  lies in  $R(T_2)$ . Since  $T_2$  is an isomorphism between  $N(T_2)^{\perp}$  and  $R(T_2)$ , it follows that

$$R(T_2) = T_2(N(T_1)) \subseteq \overline{\operatorname{span}\{f_i^-\}_{i \in I_2}} \subseteq R(T_2).$$

Finally, consider  $U \in \mathcal{U}(\ell_2(I))$  which turns the standard orthonormal basis  $\{e_i\}_{i \in I}$  into  $\mathcal{B}_1 \cup \mathcal{B}_2$ . Then, if V = TU and  $\mathcal{F} = \{Ve_i\}_{i \in I} = \{f_i^+\}_{i \in I_1} \cup \{f_i^-\}_{i \in I_2}$ , it is easy to see that

$$I_{+} = \{i \in I : \lceil Ve_{i}, Ve_{i} \rceil > 0\} = I_{1} \text{ and } I_{-} = \{i \in I : \lceil Ve_{i}, Ve_{i} \rceil < 0\} = I_{2}.$$

So,  $R(V_+) = R(T_1)$  is maximal uniformly *J*-positive and  $R(V_-) = R(T_2)$  is maximal uniformly *J*-negative. Therefore,  $\mathcal{F}$  is a *J*-frame for  $\mathcal{H}$  with synthesis operator V = TU.

# 5 The *J*-frame operator

**Definition.** Given a *J*-frame  $\mathcal{F} = \{f_i\}_{i \in I}$ , the *J*-frame operator  $S : \mathcal{H} \to \mathcal{H}$  is defined by

$$Sf = \sum_{i \in I} \sigma_i[f, f_i] f_i$$
, for every  $f \in \mathcal{H}$ ,

where  $\sigma_i = \operatorname{sgn}([f_i, f_i])$ .

The following proposition compiles some basic properties of the J-frame operator.

**Proposition 5.1.** Let  $\mathcal{F} = \{f_i\}_{i \in I}$  be a *J*-frame with synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$ . Then, its *J*-frame operator  $S \in L(\mathcal{H})$  satisfies:

- 1.  $S = TT^{\#}$ :
- 2.  $S = S_+ S_-$ , where  $S_+ := T_+ T_+^\#$  and  $S_- := -T_- T_-^\#$  are J-positive operators;
- 3. S is an invertible J-selfadjoint operator;
- 4.  $\operatorname{ind}_+(S) = \dim \mathcal{H}_+$ , where  $\operatorname{ind}_+(S)$  are the indices of S.

**Proof.** Proof If  $\mathcal{F} = \{f_i\}_{i \in I}$  is a *J*-frame with synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$ , then  $T^{\#}f = \sum_{i \in I} \sigma_i[f, f_i] e_i$  for  $f \in \mathcal{H}$ . So,

$$TT^{\#}f = T\left(\sum_{i \in I} \sigma_i[f, f_i]e_i\right) = \sum_{i \in I} \sigma_i[f, f_i]f_i = Sf, \text{ for every } f \in \mathcal{H}.$$

Furthermore, if  $I_{\pm} = \{i \in I : \pm [f_i, f_i] > 0\}$ , consider  $T_{\pm} = TP_{\pm}$  as usual. Then,

$$TT^{\#} = (T_{+} + T_{-})(T_{+} + T_{-})^{\#} = T_{+}T_{+}^{\#} + T_{-}T_{-}^{\#} = T_{+}T_{+}^{\#} - (-T_{-}T_{-}^{\#}),$$

because  $T_+T_-^\#=T_-T_+^\#=0$ . Therefore,  $S=S_+-S_-$  if  $S_\pm:=\pm T_\pm T_\pm^\#$ . Notice that  $S_\pm$  is a J-positive operator because

$$S_{\pm} = \pm T_{\pm} T_{+}^{\#} = \pm T_{\pm} J_{2} T_{+}^{*} J = T_{\pm} T_{+}^{*} J.$$

To prove the invertibility of S observe that, if Sf=0 then  $S_+f=S_-f$ . But  $R(S_+)\cap R(S_-)\subseteq R(T_+)\cap R(T_-)=\{0\}$ . Thus, S is injective. On the other hand,  $R(S)=S(\mathcal{M}_+^{[\perp]})+S(\mathcal{M}_-^{[\perp]})$  because  $\mathcal{H}=\mathcal{M}_+^{[\perp]}\dotplus\mathcal{M}_-^{[\perp]}$ . But it is easy to see that  $\mathcal{M}_\pm^{[\perp]}\subseteq N(S_\pm)$ . So,  $S(\mathcal{M}_\pm^{[\perp]})=S_\mp(\mathcal{M}_\pm^{[\perp]})$  and  $R(S)=S_-(\mathcal{M}_+^{[\perp]})+S_+(\mathcal{M}_-^{[\perp]})=R(S_-)+R(S_+)=\mathcal{M}_++\mathcal{M}_-=\mathcal{H}$ . Therefore, S is invertible.

Finally, the identities  $\operatorname{ind}_{\pm}(S) = \dim \mathcal{H}_{\pm}$  follow from the indices definition. Recall that if  $A \in L(\mathcal{H})$  is a J-selfadjoint operator,  $\operatorname{ind}_{+}(A)$  is the supremum of all positive integers r such that there exists a positive invertible matrix of the form  $([Ax_j, x_k])_{j,k=1,\ldots,r}$ , where  $x_1,\ldots,x_r \in \mathcal{H}$  (if no such r exists,  $\operatorname{ind}_{-}(A) = 0$ ). Similarly,  $\operatorname{ind}_{-}(A) = \operatorname{ind}_{+}(-A)$  is the supremum of all positive integers m such that there exists a negative invertible matrix of the form  $([Ay_j, y_k])_{j,k=1,\ldots,m}$ , where  $y_1,\ldots,y_m \in \mathcal{H}$ , see [13].

**Corollary 5.2.** Let  $\mathcal{F} = \{f_i\}_{i \in I}$  be a *J*-frame for  $\mathcal{H}$  with *J*-frame operator  $S \in L(\mathcal{H})$ . Then,  $R(S_{\pm}) = \mathcal{M}_{\pm}$  and  $N(S_{\pm}) = \mathcal{M}_{\pm}^{[\perp]}$ . Furthermore, if  $Q = P_{\mathcal{M}_{+}//\mathcal{M}_{-}}$ ,

$$S_{+} = QSQ^{\#}$$
 and  $S_{-} = -(I - Q)S(I - Q)^{\#}$ . (26)

**Proof.** Proof Recall that  $S_+ := T_+ T_+^\# = T_+ (J_2 T_+^* J) = T_+ T_+^* J$ . Then,  $R(S_+) = R(T_+ T_+^* J) = R(T_+ T_+^*) = R(T_+ T_+^*) = R(T_+) = \mathcal{M}_+$  because  $R(T_+)$  is closed. Since  $S_+$  is J-selfadjoint, it follows that  $N(S_+) = R(S_+)^{[\perp]} = \mathcal{M}_+^{[\perp]}$ . Analogously,  $R(S_-) = \mathcal{M}_-$  and  $N(S_-) = \mathcal{M}_-^{[\perp]}$ .

Since  $S = S_+ - S_-$ , if  $Q = P_{\mathcal{M}_+//\mathcal{M}_-}$  then

$$QS = Q(S_{+} - S_{-}) = S_{+},$$

by the characterization of the range and nullspace of  $S_+$ . Therefore,  $SQ^\# = QS = QSQ^\#$ . Analogously,  $S(I-Q)^\# = (I-Q)S = (I-Q)S(I-Q)^\#$ .

The above corollary states that S is the diagonal block operator matrix

$$S = \begin{pmatrix} S_{+} & 0 \\ 0 & -S_{-} \end{pmatrix}, \tag{27}$$

according to the (oblique) decompositions  $\mathcal{H} = \mathcal{M}_{-}^{[\perp]} \dotplus \mathcal{M}_{+}^{[\perp]}$  and  $\mathcal{H} = \mathcal{M}_{+} \dotplus \mathcal{M}_{-}$  of the domain and codomain of S, respectively.

### 5.1 The indefinite reconstruction formula associated to a *J*-frame

Given a J-frame  $\mathcal{F} = \{f_i\}_{i \in I}$  with synthesis operator T, there is a duality between  $\mathcal{F}$  and the frame  $\mathcal{G} = \{g_i\}_{i \in I}$  given by  $g_i = S^{-1}f_i$ : if  $f \in \mathcal{H}$ ,

$$f = SS^{-1}f = TT^{\#}(S^{-1}f) = T\left(\sum_{i \in I} \sigma_i[S^{-1}f, f_i]e_i\right) = \sum_{i \in I} \sigma_i[S^{-1}f, f_i]f_i = \sum_{i \in I} \sigma_i[f, S^{-1}f_i]f_i.$$

Analogously,

$$f = S^{-1}Sf = S^{-1}(TT^{\#}f) = S^{-1}\left(\sum_{i \in I} \sigma_i[f, f_i]f_i\right) = \sum_{i \in I} \sigma_i[f, f_i]S^{-1}f_i.$$

Therefore, for every  $f \in \mathcal{H}$ , there is an indefinite reconstruction formula associated to  $\mathcal{F}$ :

$$f = \sum_{i \in I} \sigma_i [f, g_i] f_i = \sum_{i \in I} \sigma_i [f, f_i] g_i.$$

$$(28)$$

The following question arises naturally: is  $\mathcal{G} = \{S^{-1}f_i\}_{i \in I}$  also a *J*-frame for  $\mathcal{H}$ ?

**Proposition 5.3.** If  $\mathcal{F} = \{f_i\}_{i \in I}$  is a *J*-frame for a Krein space  $\mathcal{H}$  with *J*-frame operator S, then  $\mathcal{G} = \{S^{-1}f_i\}_{i \in I}$  is also a *J*-frame for  $\mathcal{H}$ .

**Proof.** Proof Given a *J*-frame  $\mathcal{F} = \{f_i\}_{i \in I}$  for  $\mathcal{H}$  with *J*-frame operator *S*, observe that the synthesis operator of  $\mathcal{G} = \{S^{-1}f_i\}_{i \in I}$  is  $V := S^{-1}T \in L(\ell_2(I), \mathcal{H})$ . Furthermore, by Corollary 5.2,  $S(\mathcal{M}_{\mp}^{[\perp]}) = \mathcal{M}_{\pm}$ . Then,  $S^{-1}(\mathcal{M}_{\pm}) = \mathcal{M}_{\pm}^{[\perp]}$  and it follows that

$$[S^{-1}f_i, S^{-1}f_i] > 0$$
 if and only if  $[f_i, f_i] > 0$ .

Thus,  $V_{\pm} = VP_{\pm} = S^{-1}T_{\pm}$  and  $R(V_{+})$  (resp.  $R(V_{-})$ ) is a maximal uniformly J-positive (resp. J-negative) subspace of  $\mathcal{H}$ . So,  $\mathcal{G}$  is a J-frame for  $\mathcal{H}$ .

If  $\mathcal{F} = \{f_i\}_{i \in I}$  is a frame for a Hilbert space  $\mathcal{H}$  with synthesis operator  $T \in L(\ell_2(I), \mathcal{H})$ , then the family  $\{(TT^*)^{-1}f_i\}_{i \in I}$  is called the *canonical dual frame* because it is a dual frame for  $\mathcal{F}$  (see Eq. (10)) and it has the following optimal property: Given  $f \in \mathcal{H}$ ,

$$\sum_{i \in I} |\langle f, (TT^*)^{-1} f_i \rangle|^2 \le \sum_{i \in I} |c_i|^2, \quad \text{whenever} \quad f = \sum_{i \in I} c_i f_i, \tag{29}$$

for a family  $(c_i)_{i \in I} \in \ell_2(I)$ . In other words, the above representation has the smallest  $\ell_2$ -norm among the admissible frame coefficients representing f (see [12]).

**Remark 5.4.** If  $\mathcal{F} = \{f_i\}_{i \in I}$  is a J-frame for  $\mathcal{H}$  then  $\mathcal{F}_{\pm} = \{f_i\}_{i \in I_{\pm}}$  is a frame for the Hilbert space  $(\mathcal{M}_{\pm}, \pm [\ ,\ ])$ . Furthermore, the frame operator associated to  $\mathcal{F}_{+}$  is  $S_{+} = T_{+}T_{+}^{\#}$  and its canonical dual frame is given by  $\mathcal{G}_{+} = \{S_{+}^{-1}f_{i}\}_{i \in I_{+}}$ . Analogously, the frame operator associated to  $\mathcal{F}_{-}$  is  $S_{-} = -T_{-}T_{-}^{\#}$  and its canonical dual frame is given by  $\mathcal{G}_{-} = \{S_{-}^{-1}f_{i}\}_{i \in I_{-}}$ .

Then, since  $\mathcal{H} = \mathcal{M}_+ \dotplus \mathcal{M}_-$ ,  $\mathcal{H}$  can be seen as the (outer) direct sum of the Hilbert spaces  $(\mathcal{M}_+, [\ ,\ ])$  and  $(\mathcal{M}_-, -[\ ,\ ])$ , i.e. the inner product given by

$$\langle f, g \rangle_{\mathcal{F}} = [f_+, g_+] - [f_-, g_-], \quad f = f_+ + f_-, \quad g = g_+ + g_-, \quad f_+, g_+ \in \mathcal{M}_+, \quad f_-, g_- \in \mathcal{M}_-, \quad f_+, g_+ \in \mathcal{M}_+, \quad f_-, g_- \in \mathcal{M}_-, \quad f_+, g_+ \in \mathcal{M}_+, \quad f_-, g_- \in \mathcal{M}_-, \quad f_+, g_+ \in \mathcal{M}_+, \quad f_-, g_- \in \mathcal{M}_-, \quad f_-, g_- \in \mathcal$$

turns  $(\mathcal{H}, \langle , \rangle_{\mathcal{F}})$  into a Hilbert space and the projection  $Q = P_{\mathcal{M}_+//\mathcal{M}_-}$  is selfadjoint in this Hilbert space. So, if  $f \in \mathcal{H}$ ,

$$\begin{split} \sum_{i \in I} |[f, S^{-1} f_i]|^2 &= \sum_{i \in I_+} |[Qf, S_+^{-1} f_i]|^2 + \sum_{i \in I_-} |[(I - Q)f, S_-^{-1} f_i]|^2 \leq \\ &\leq \sum_{i \in I_+} |c_i^+|^2 + \sum_{i \in I_-} |c_i^-|^2, \end{split}$$

whenever  $f_+ = Qf = \sum_{i \in I_+} c_i^+ f_i$  and  $f_- = (I - Q)f = \sum_{i \in I_-} c_i^- f_i$ , for families  $(c_i^{\pm})_{i \in I_{\pm}} \in \ell_2(I_{\pm})$ . Therefore,

$$\sum_{i \in I} |[f, S^{-1} f_i]|^2 \le \sum_{i \in I} |c_i|^2,$$

whenever  $f = \sum_{i \in I} c_i f_i$  for some  $(c_i)_{i \in I} \in \ell_2(I)$ . In other words, the *J*-frame  $\mathcal{G} = \{S^{-1} f_i\}_{i \in I}$  is the canonical dual frame of  $\mathcal{F}$  in the Hilbert space  $(\mathcal{H}, \langle , \rangle_{\mathcal{F}})$ .

# 5.2 Characterizing the *J*-frame operators

In a Hilbert space  $\mathcal{H}$ , it is well known that every positive invertible operator  $S \in L(\mathcal{H})$  can be realized as the frame operator of a frame  $\mathcal{F} = \{f_i\}_{i \in I}$  for  $\mathcal{H}$ , see [16]. Indeed, if  $\mathcal{B} = \{x_i\}_{i \in I}$  is an orthonormal basis of  $\mathcal{H}$ , consider  $T : \ell_2(I) \to \mathcal{H}$  given by  $Te_i = S^{1/2}x_i$  for  $i \in I$ . Then, for every  $f \in \mathcal{H}$ ,

$$TT^*f = \sum_{i \in I} \left\langle f, S^{1/2}x_i \right\rangle S^{1/2}x_i = S^{1/2} \left( \sum_{i \in I} \left\langle S^{1/2}f, x_i \right\rangle x_i \right) = Sf$$

Therefore,  $\mathcal{F} = \{S^{1/2}x_i\}_{i \in I}$  is a frame for  $\mathcal{H}$  and its frame operator is given by S.

The following paragraphs are devoted to characterize the set of J-frame operators.

**Theorem 5.5.** Let  $S \in GL(\mathcal{H})$  be a J-selfadjoint operator acting on a Krein space  $\mathcal{H}$  with fundamental symmetry J. Then, the following conditions are equivalent:

- 1. S is a J-frame operator, i.e. there exists a J-frame  $\mathcal{F}$  with synthesis operator T such that  $S = TT^{\#}$ .
- 2. There exists a projection  $Q \in \mathcal{Q}$  such that QS is J-positive and (I Q)S is J-negative.
- 3. There exist J-positive operators  $S_1, S_2 \in L(\mathcal{H})$  such that  $S = S_1 S_2$  and  $R(S_1)$  (resp.  $R(S_2)$ ) is a uniformly J-positive (resp. J-negative) subspace of  $\mathcal{H}$ .

**Proof.** Proof 1.  $\rightarrow$  2. follows from Proposition 5.1 and Corollary 5.2.

- 2.  $\rightarrow$  3.: If there exists a projection  $Q \in \mathcal{Q}$  such that QS is J-positive and (I Q)S is J-negative, consider the J-positive operators  $S_1 = QS$  and  $S_2 = -(I Q)S$ . Then,  $S = S_1 S_2$  and, by hypothesis,  $R(S_1) = R(Q)$  is uniformly J-positive and  $R(S_2) = R(I Q) = N(Q)$  is uniformly J-negative.
- $3. \to 1.$ : Suppose that there exist J-positive operators  $S_1, S_2 \in L(\mathcal{H})$  such that  $S = S_1 S_2$  and  $R(S_1)$  (resp.  $R(S_2)$ ) is a uniformly J-positive (resp. J-negative) subspace of  $\mathcal{H}$ . Denoting  $\mathcal{K}_j = R(S_j)$  for j = 1, 2, observe that  $A_j = S_j J|_{\mathcal{K}_j} \in GL(\mathcal{K}_j)^+$ . Therefore, there exists a frame  $\mathcal{F}_j = \{f_i\}_{i \in I_j} \subset \mathcal{K}_j$  for  $\mathcal{K}_j$  such that  $A_j = T_j T_j^*$  if  $T_j \in L(\ell_2(I_1), \mathcal{K}_j)$  is the synthesis operator of  $\mathcal{F}_j$ , for j = 1, 2.

Then, consider  $\ell_2(I) := \ell_2(I_1) \oplus \ell_2(I_2)$  and  $T \in L(\ell_2(I), \mathcal{H})$  given by

$$Tx = T_1x_1 + T_2x_2$$
, if  $x \in \ell_2(I)$ ,  $x = x_1 + x_2$ ,  $x_i \in \ell_2(I_i)$  for  $i = 1, 2$ .

It is easy to see that T is the synthesis operator of the frame  $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$ . Furthermore  $\mathcal{F}$  is a J-frame such that  $I_+ = I_1$  and  $I_- = I_2$ .

Finally, endow  $\ell_2(I)$  with the indefinite inner product defined by the diagonal operator  $J_2 \in L(\ell_2(I))$  given by

$$J_2 e_i = \sigma_i e_i$$

where  $\sigma_i = 1$  if  $i \in I_1$  and  $\sigma_i = -1$  if  $i \in I_2$ . Notice that  $T_1J_2 = T_1$  and  $T_2J_2 = -T_2$ . Furthermore,  $T_1T_2^* = T_2T_1^* = 0$  because  $R(T_2^*) = N(T_2)^{\perp} \subseteq \ell_2(I_1)^{\perp} = \ell_2(I_2) \subseteq N(T_1)$ . Thus,

$$TT^{\#} = TJ_2T^*J = (T_1 + T_2)(T_1^* - T_2^*)J = T_1T_1^*J - T_2T_2^*J = A_1J - A_2J = S_1 - S_2 = S.$$

Given a J-frame  $\mathcal{F} = \{f_i\}_{i \in I}$  for  $\mathcal{H}$  with J-frame operator  $S \in L(\mathcal{H})$ , it follows from Corollary 5.2 that

$$S(\mathcal{M}_{-}^{[\perp]}) = \mathcal{M}_{+} \quad \text{and} \quad S(\mathcal{M}_{+}^{[\perp]}) = \mathcal{M}_{-}.$$
 (30)

i.e. S maps a maximal uniformly J-positive (resp. J-negative) subspace into another maximal uniformly J-positive (resp. J-negative) subspace. The next proposition shows under which hypotheses the converse holds.

**Proposition 5.6.** Let  $S \in GL(\mathcal{H})$  be a *J*-selfadjoint operator. Then, S is a *J*-frame operator if and only if the following conditions hold:

- 1. there exists a maximal uniformly J-positive subspace  $\mathcal{T}$  of  $\mathcal{H}$  such that  $S(\mathcal{T})$  is also maximal uniformly J-positive;
- 2.  $[Sf, f] \ge 0$  for every  $f \in \mathcal{T}$ ;
- 3.  $[Sg,g] \leq 0$  for every  $g \in S(\mathcal{T})^{[\perp]}$ .

**Proof.** Proof If S is a J-frame operator, consider  $\mathcal{T} = \mathcal{M}_{-}^{[\perp]}$  which is a maximal uniformly J-positive subspace  $\mathcal{T}$  of  $\mathcal{H}$ . Then,  $S(\mathcal{T}) = \mathcal{M}_{+}$  is also maximal uniformly J-positive. Furthermore,

$$[Sf, f] = [SQ^{\#}f, Q^{\#}f] = [QSQ^{\#}f, f] = [S_{+}f, f] \ge 0$$
 for every  $f \in \mathcal{T}$ ,

where  $Q = P_{\mathcal{M}_{+}//\mathcal{M}_{-}}$ . Also,  $S(\mathcal{T})^{[\perp]} = \mathcal{M}_{+}^{[\perp]} = N(Q^{\#}) = R((I-Q)^{\#})$ . So,

$$[Sg,g] = [S(I-Q)^{\#}g,(I-Q)^{\#}g] = [(I-Q)S(I-Q)^{\#}g,g] = [-S_{-}g,g] \leq 0 \text{ for every } g \in S(\mathcal{T})^{[\perp]}.$$

Conversely, suppose that there exists a maximal uniformly J-positive subspace  $\mathcal{T}$  satisfying the hypothesis. Let  $\mathcal{M} = S(\mathcal{T})$ , which is maximal uniformly J-positive. Then, consider  $Q = P_{\mathcal{M}//\mathcal{T}^{[\perp]}}$ . It is well defined because  $\mathcal{T}^{[\perp]}$  is maximal uniformly J-negative, see [2, Corollary 1.5.2]. Moreover,  $Q \in \mathcal{Q}$ ,

well defined because  $\mathcal{T}^{[\perp]}$  is maximal uniformly J-negative, see [2, Corollary 1.5.2]. Moreover,  $Q \in \mathcal{Q}$ . Notice that  $R(S(I-Q)^{\#}) = S(\mathcal{M}^{[\perp]}) = S(S(\mathcal{T})^{[\perp]}) = S(S^{-1}(\mathcal{T}^{[\perp]})) = \mathcal{T}^{[\perp]}$ . Therefore,  $QS(I-Q)^{\#} = 0$  and

$$QS = QSQ^{\#} + QS(I - Q)^{\#} = QSQ^{\#}.$$

Furthermore, if  $[Sf, f] \ge 0$  for every  $f \in \mathcal{T}$  then QS is J-positive. Analogously, if  $[Sg, g] \le 0$  for every  $g \in S(\mathcal{T})^{[\perp]}$  then (I - Q)S is J-negative. Then, by Theorem 5.5, S is a J-frame operator.

As it was proved in Proposition 5.1, if an operator  $S \in L(\mathcal{H})$  is a J-frame operator then it is an invertible J-selfadjoint operator satisfying  $\operatorname{ind}_{\pm}(S) = \dim(\mathcal{H}_{\pm})$ . Unfortunatelly, the converse is not true.

**Example 4.** Consider the Krein space obtained by endowing  $\mathbb{C}^2$  with the sesquilinear form

$$[(x_1, x_2), (y_1, y_2)] = x_1 \overline{y_1} - x_2 \overline{y_2},$$

and the invertible J-selfadjoint operator S, whose matrix in the standard orthonormal basis is given by

$$S = \left(\begin{array}{cc} 0 & i \\ i & 0 \end{array}\right).$$

Then, S satisfies  $\operatorname{ind}_{\pm}(S) = \dim(\mathcal{H}_{\pm})$ , but it maps each J-positive vector into a J-negative vector. Then, by Proposition 5.6, S cannot be a J-frame operator.

# 6 Final remarks

The following are some simple consequences of the material studied in the previous sections. Nevertheless, they are not going to be thoroughly developed in this notes.

# Synthesis operators of *J*-frames as sums of plus and minus operators

If  $\mathcal{F} = \{f_i\}_{i \in I}$  is a J-frame for the Krein space  $(\mathcal{H}, [\ ,\ ])$ , it is easy to see that  $T_+$  and  $T_+^\#$  are plus operators (considering  $\ell_2(I)$  as a Krein space with the fundamental symmetry  $J_2$  defined in (12)). Furthermore,  $T_+^\#$  is strict, and,  $T_+$  is a strict plus operator if and only if  $N(T) \cap \ell_2(I_+) = \{0\}$ .

Also, these conditions have a natural counterpart for the operators  $T_{-}$  and  $T_{-}^{\#}$ . Indeed, it follows analogously that  $T_{-}$  and  $T_{-}^{\#}$  are minus operators;  $T_{-}^{\#}$  is always strict, and,  $T_{-}$  is a strict minus operator if and only if  $N(T) \cap \ell_{2}(I_{-}) = \{0\}$  (see [18, Ch. 2] for the terminology).

# Frames for regular subspaces of a Krein space

Given a Krein space  $(\mathcal{H}, [\ ,\ ])$ , recall that a subspace  $\mathcal{S}$  of  $\mathcal{H}$  is regular if there exists a (unique) J-selfadjoint projection onto  $\mathcal{S}$ . Since a regular subspace  $\mathcal{S}$ , endowed with the restriction of the indefinite inner product  $[\ ,\ ]$  to  $\mathcal{S}$ , is a Krein space (see [18, Ch. 1,Theorem 7.16]) the definition of J-frames applies for regular subspaces of  $\mathcal{H}$  too. Therefore, it is easy to infer a notion of "J-frames for regular subspaces" of a Krein space.

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